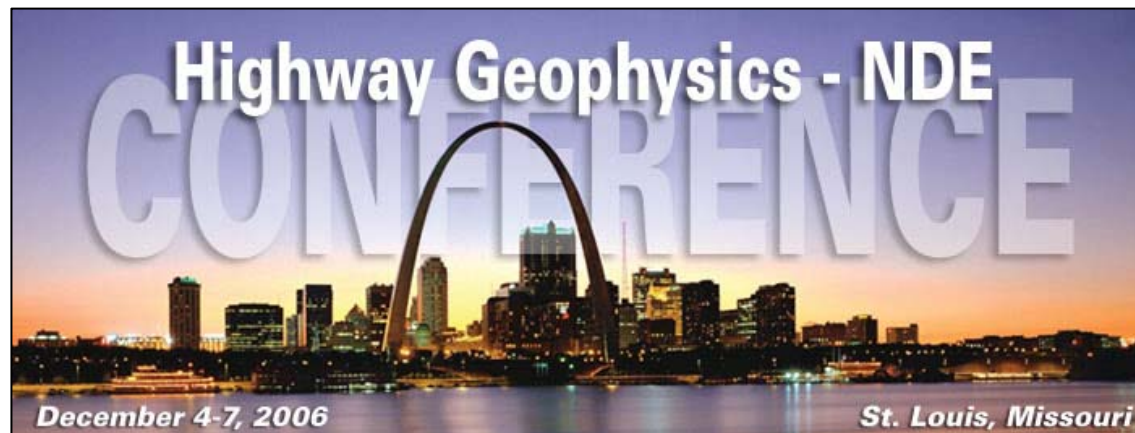


# UNRAVELLING THE LEVEE FAILURES IN NEW ORLEANS DURING HURRICANE KATRINA – WHAT WE ALL SHOULD KNOW

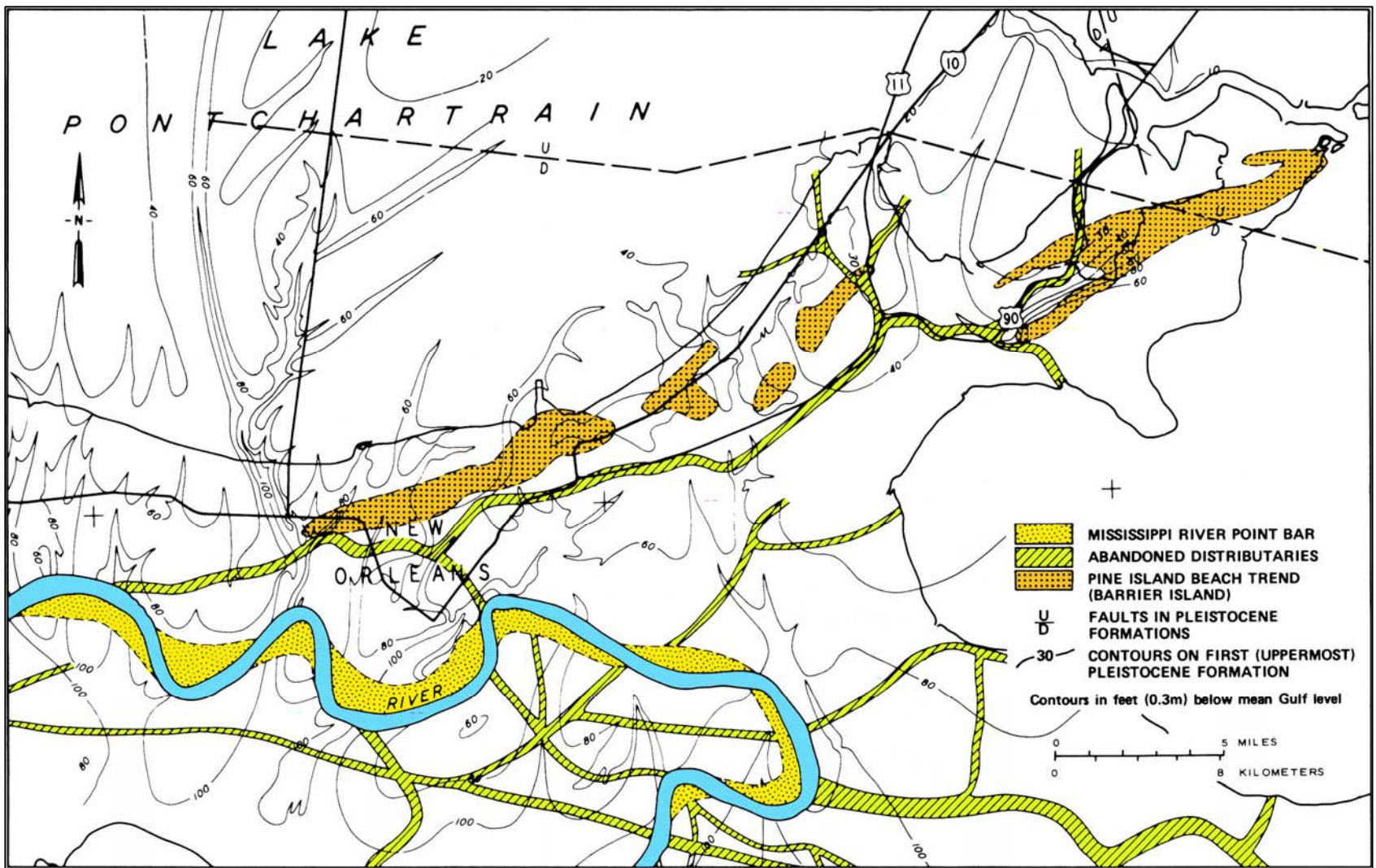
**J. David Rogers, Ph.D., P.E., R.G.**

Member, National Science Foundation Independent Levee Investigation Team and  
Karl F. Hasselmann Chair in Geological Engineering  
University of Missouri-Rolla



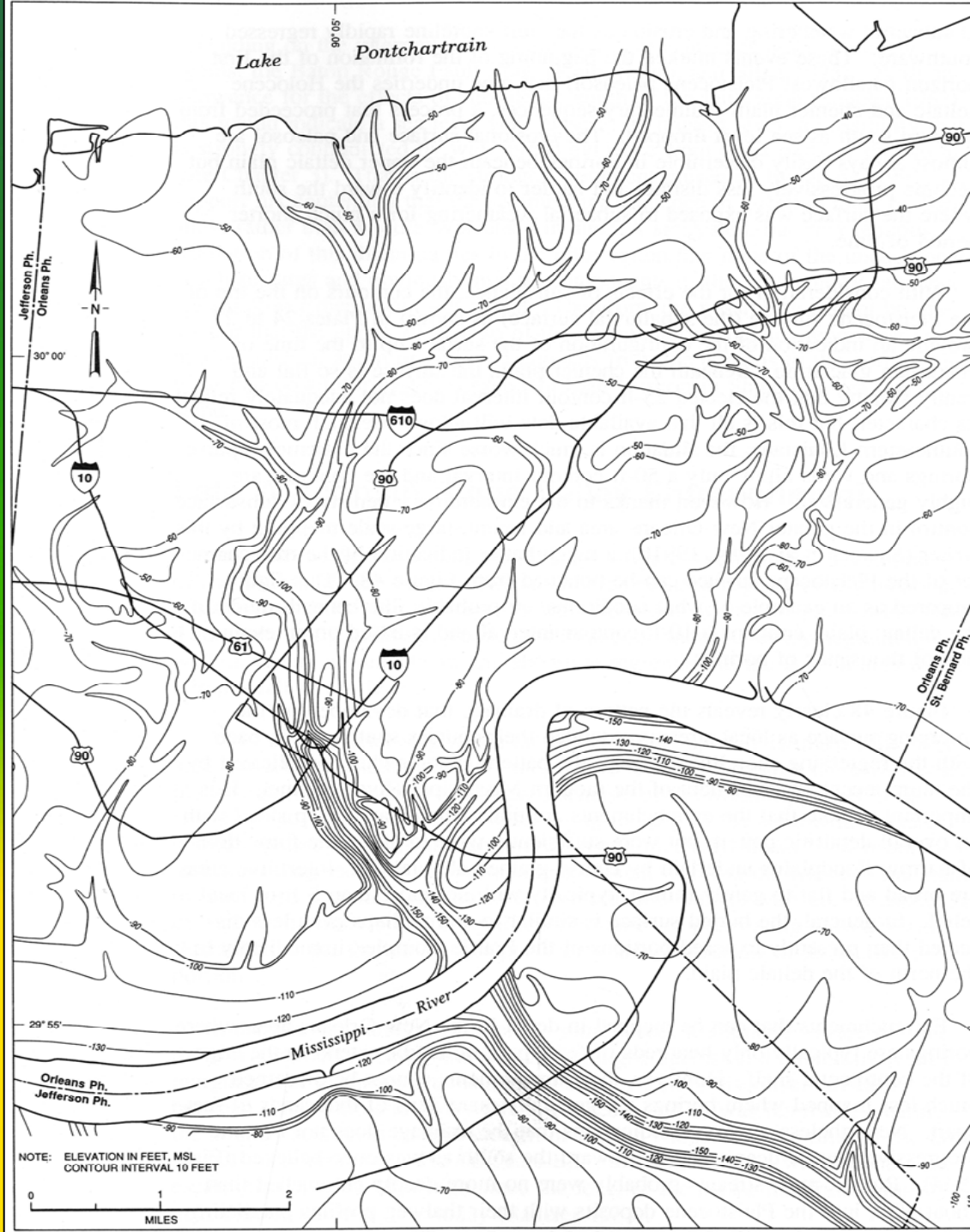
# Part 1

# GEOLOGIC SETTING OF NEW ORLEANS



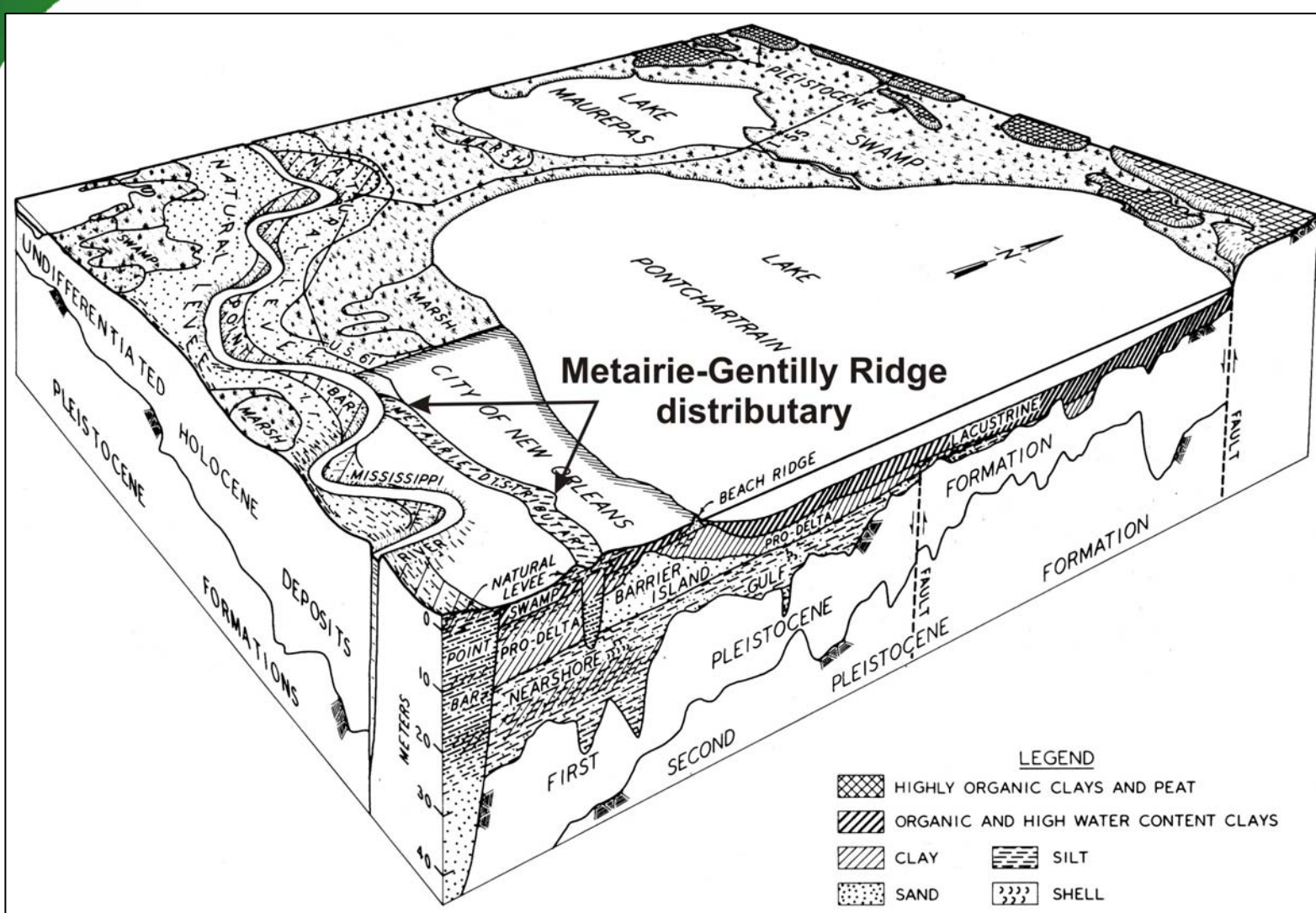
- Pleistocene geologic map of the New Orleans area.** The yellow stippled bands are the principal distributary channels of the lower Mississippi River, with the present channel shown in light blue. The **Pine Island Beach Trend** is shown in the ochre dotted pattern. Depth contours on the upper Pleistocene age horizons are also shown.



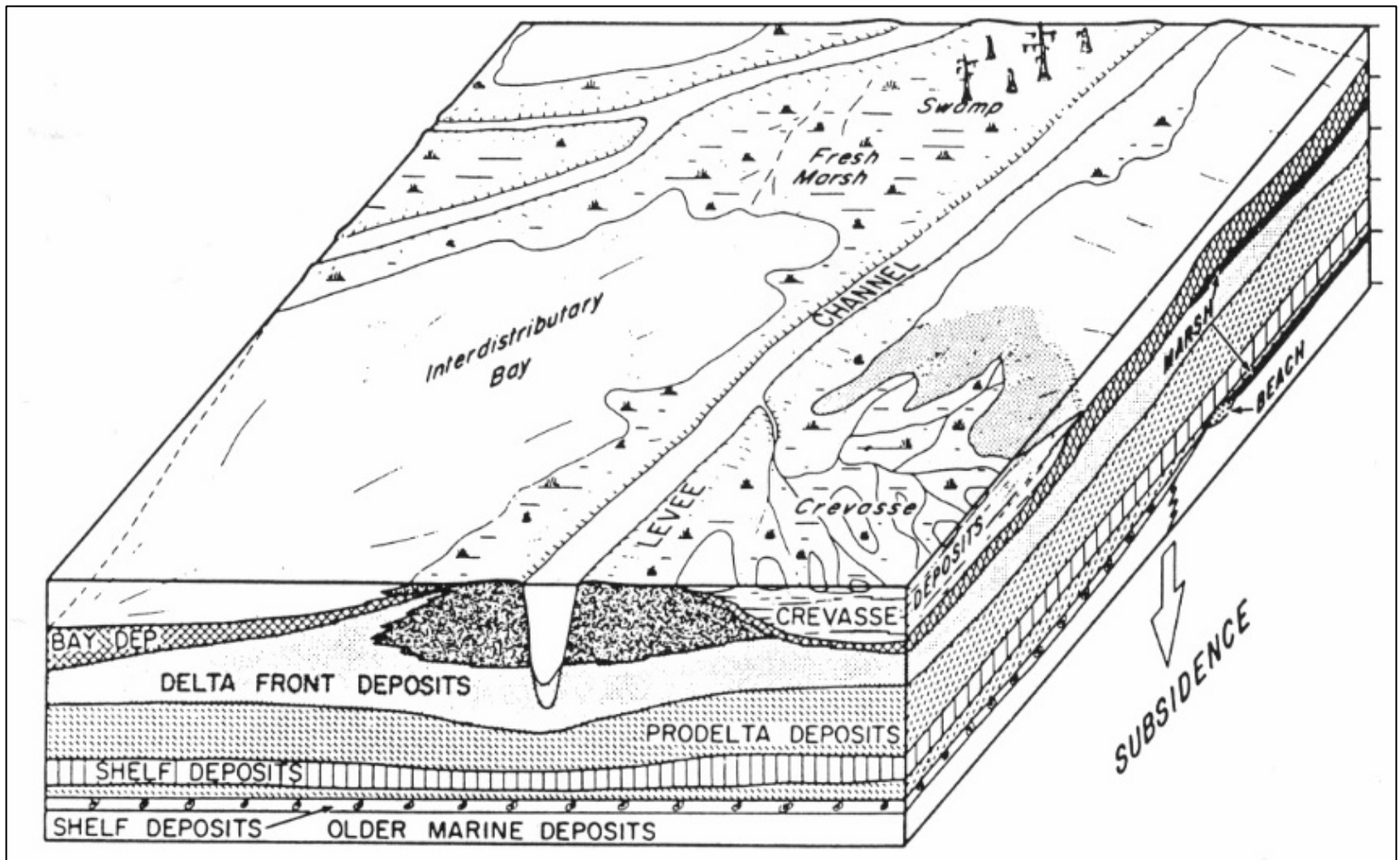


- **Contours of the entrenched surface of the Wisconsin glacial age deposits underlying modern New Orleans.**
- **Note the well developed channel leading southward, towards what used to be the oceanic shoreline. This channel reaches a maximum depth of 150 feet below sea level.**

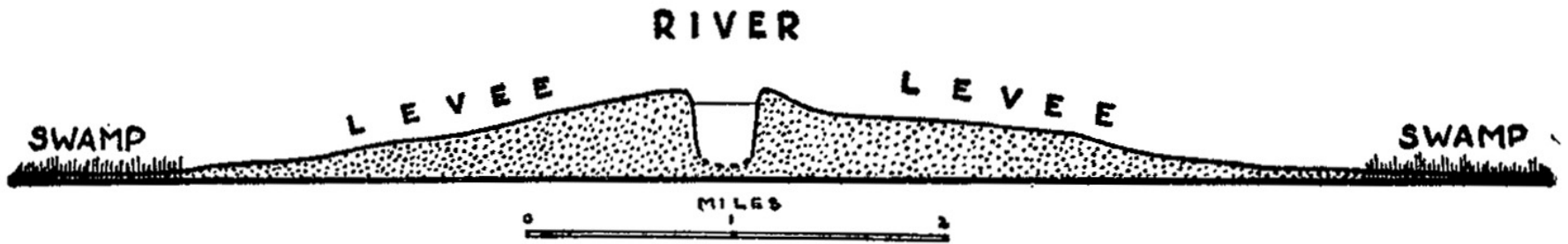




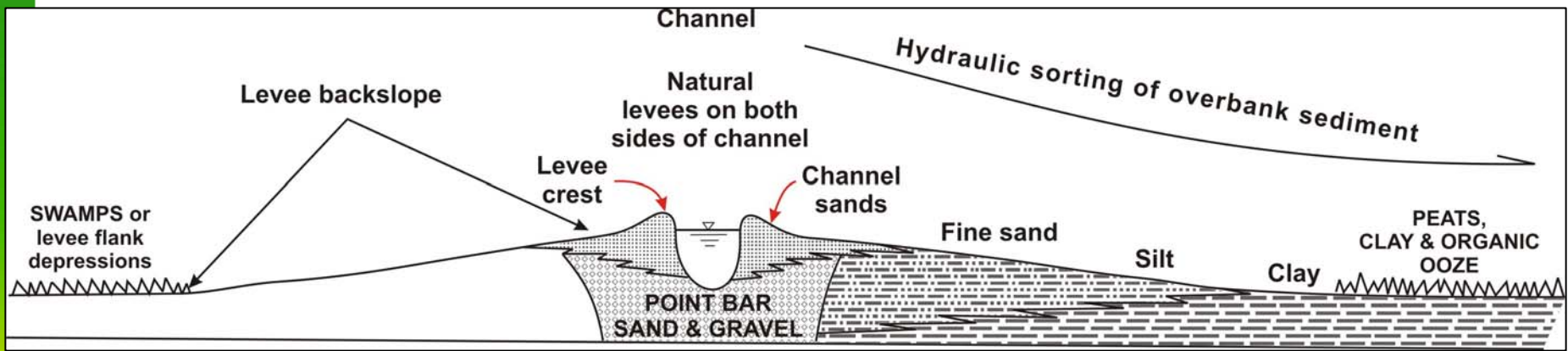
- Block diagram of the geology underlying New Orleans. The principal feature dividing New Orleans is the **Metairie distributary channel**, shown here, which extends to a depth of 50 feet below MGL and separates geologic regimes on either side. Note the underlying faults, beneath Lake Pontchartrain.



- Block diagram illustrating relationships between **subaerial and subaqueous deltaic environments** in relation to a single distributary lobe.
- The Lakeview and Gentilly neighborhoods of New Orleans are underlain by interdistributary sediments, overlain by peaty soils laid down by fresh water marshes and cypress swamps.

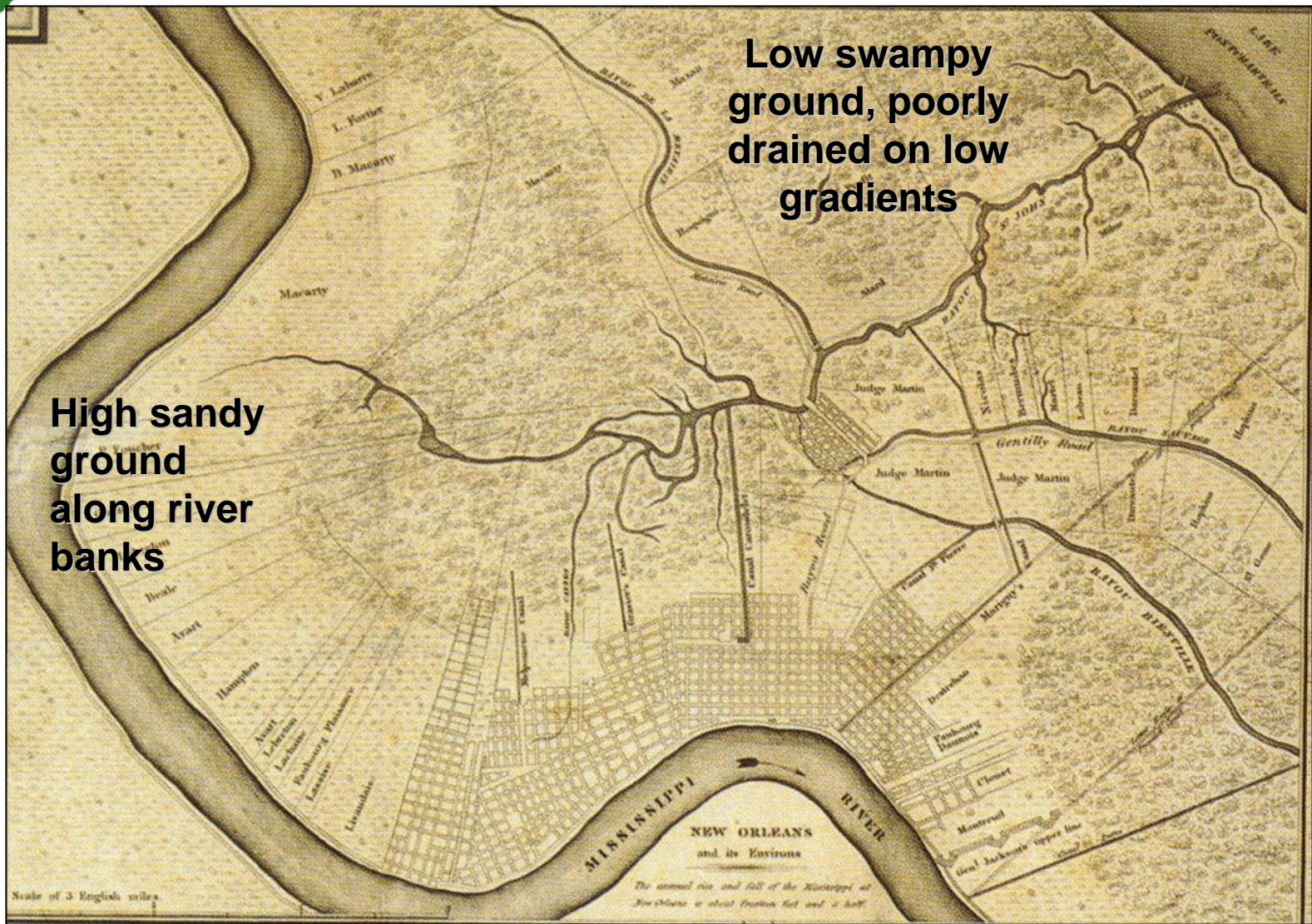


PROFILE OF THE MISSISSIPPI RIVER AT BELLE POINT



- Typical cross section through the sandy bank levees of the Mississippi River, illustrating how the river's **main channel lies above the surrounding flood plain**, which were poorly drained swamp lands prior to reclamation.
- There is significant **hydraulic sorting** of materials deposited on either side of these levees, as sketched below.





- **Plan of the City of New Orleans prepared by Francis Ogden in 1829. Note the linear drainage canals feeding into Bayou St. John, thence into Lake Pontchartrain.**



# Lake Pontchartrain

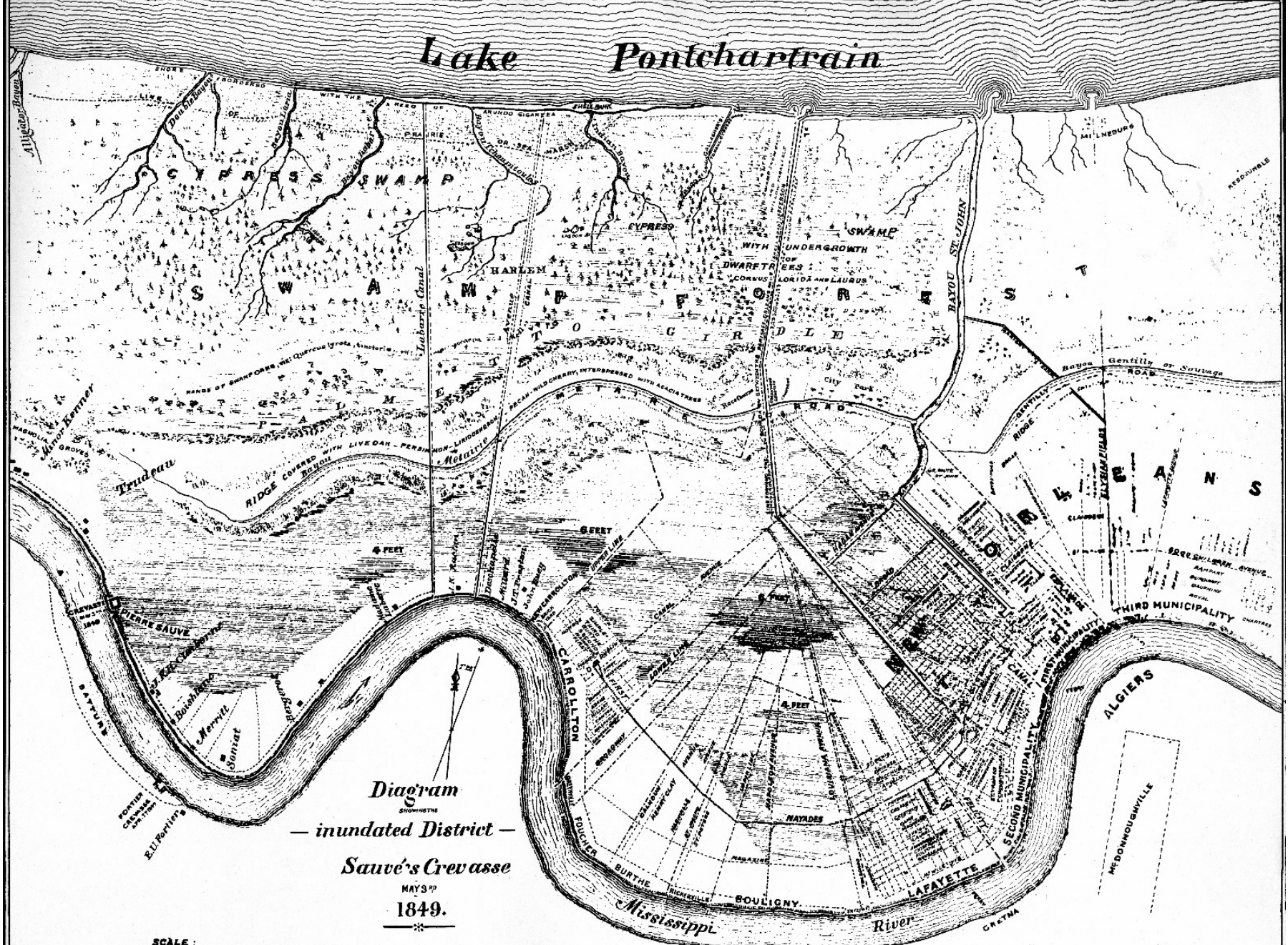
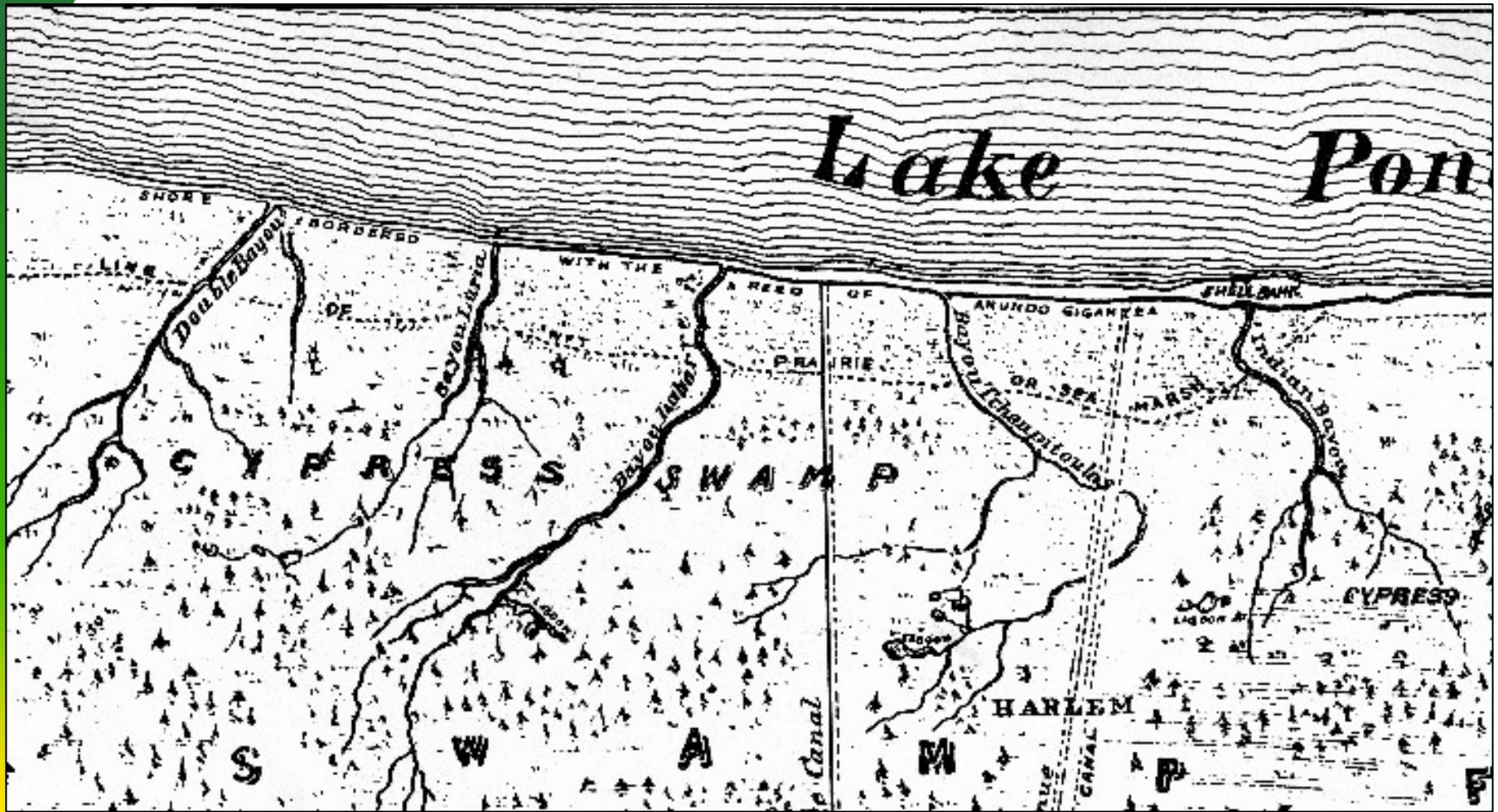


Diagram  
— inundated District —  
Sauvé's Crevasse  
MAY 3<sup>RD</sup>  
1849.

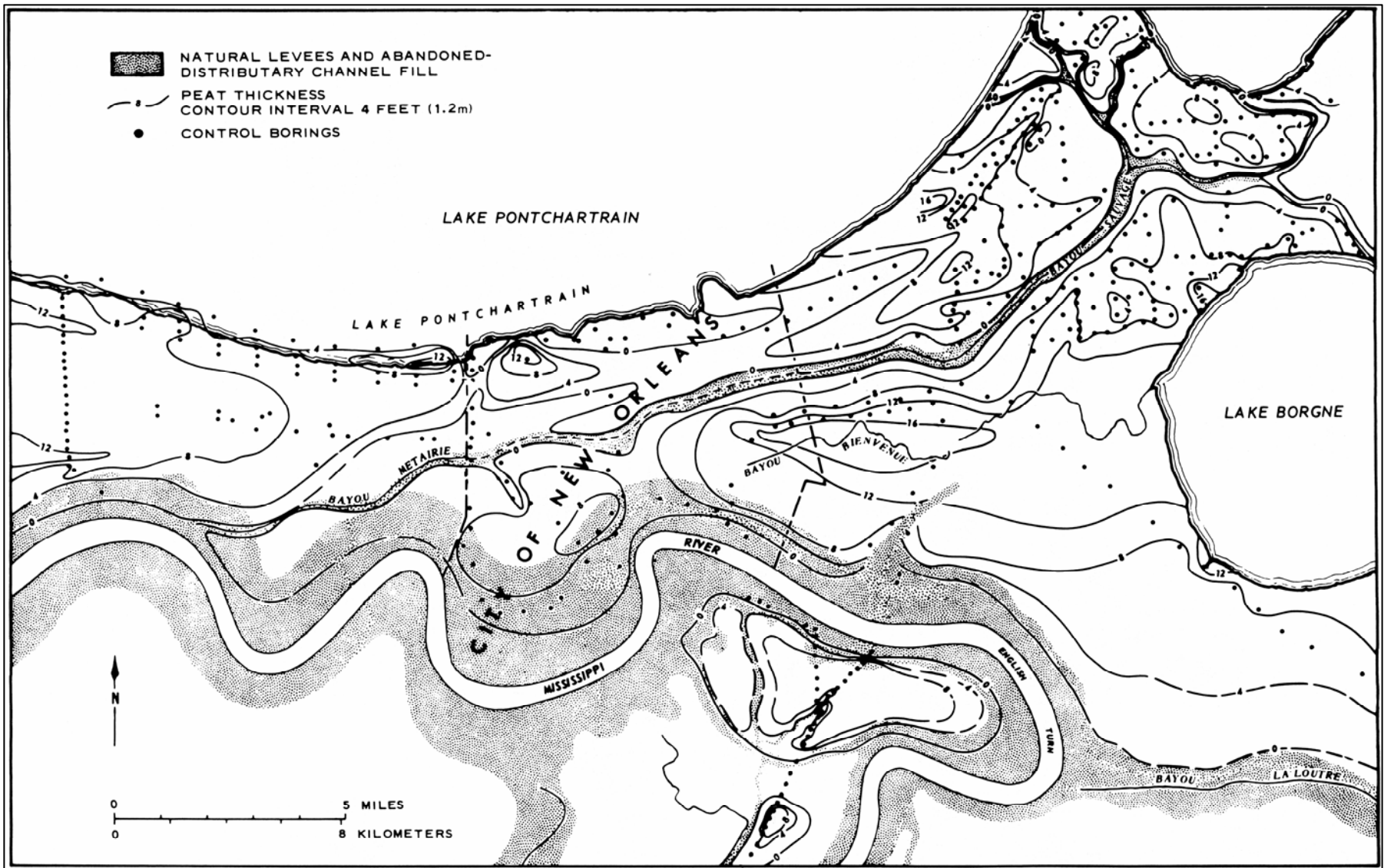
SCALE :  
**Everyone loves old maps...**



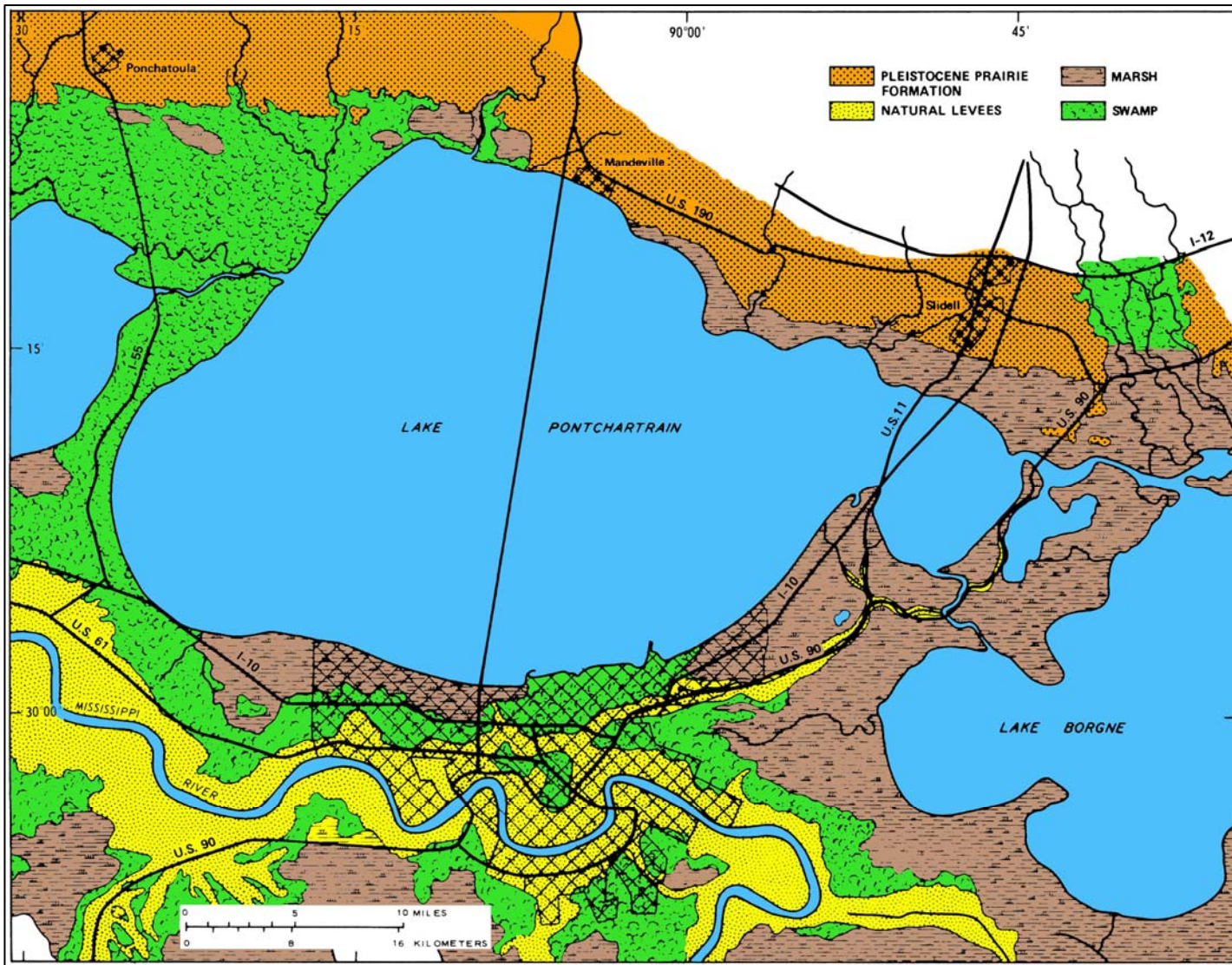


- Portion of the 1849 flood map showing the mapped demarcation between **brackish and fresh water marshes** along Lake Pontchartrain. This delineation is shown on many of the historic maps, dating back to 1749.





- The Corps of Engineers had prepared many impressive maps depicting the geologic conditions beneath New Orleans. This one shows the apparent thickness of **surficial peat deposits**, which correlate with areas of anomalously high ground settlement after development.

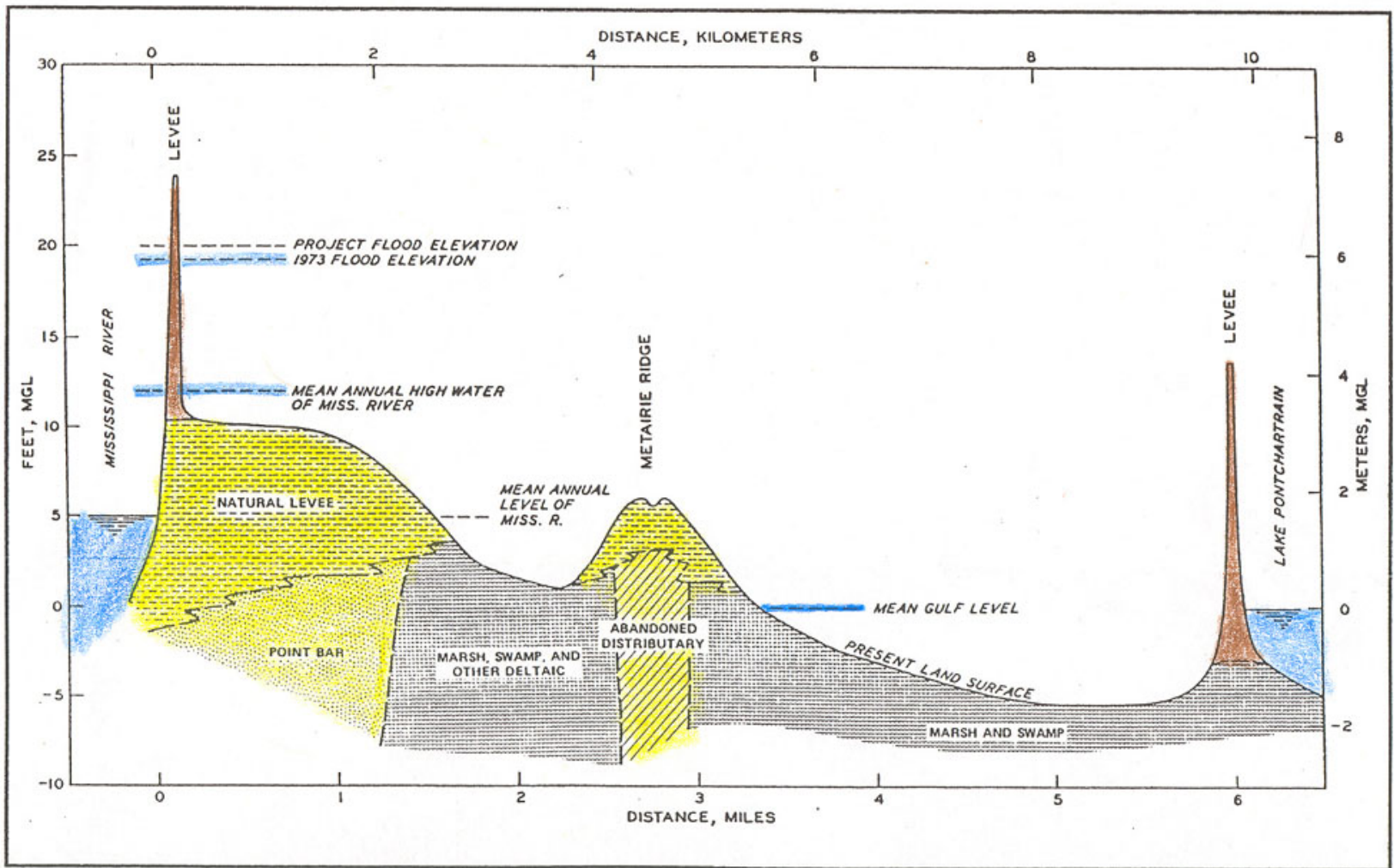


- **Geologic map of the greater New Orleans area. The sandy materials shown in yellow are natural levees, green areas denote old cypress swamps and brown areas are historic marshlands. The stippled zone indicates the urbanized portions of New Orleans.**

## Part 2

# CRITICAL ROLE OF FLOOD CONTROL INFRASTRUCTURE IN NEW ORLEANS





**Much of lower New Orleans, developed after the First World War, lies below Mean Gulf Level, as shown here. Water that finds its way into the City must be pumped out.**





- All 36 miles of drainage canals in the Lakeview and Gentilly areas are shown in this portion the 1878 Hardee Map. The canals are, from left: 17<sup>th</sup> Street, New Basin (infilled), Orleans, Bayou St. John, and London Avenue, and the Lower Line Protection Levee.

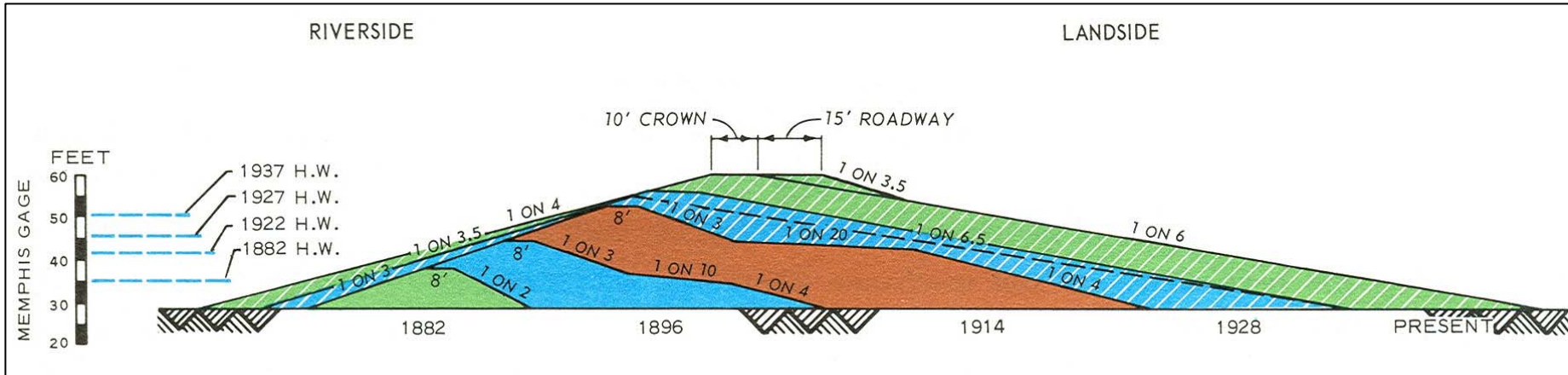




- **Photo taken in 1890 looking north along the “shell road” than ran along the west side of the [New Basin Canal](#), seen at extreme right.**
- **Note the modest height of the embankment, no more than 5 feet above the adjacent cypress swamp. The canal embankments were heightened by earth filling after hurricane-induced overtopping of these canals in [1915](#) and [1947](#) (image from University of New Orleans historic collection).**



# Problem with houses next to levees



- Evolution of the Corps of Engineers' **standard levee section, 1882 to 1972** (from Moore, 1972).
- Earth embankments levees are generally **heightened** sequentially by compacting additional soil on the **land side of the embankments** (each sequence of heightening shown as different colors).
- Levees adjacent to drainage canals or perennial channels are not raised on the river side of the embankment because excess moisture would prevent meaningful compaction of the fill.
- **Existing homes abutted the land side of the drainage canal levees in New Orleans by the time the Corps of Engineers began analyzing them in the 1960s.**



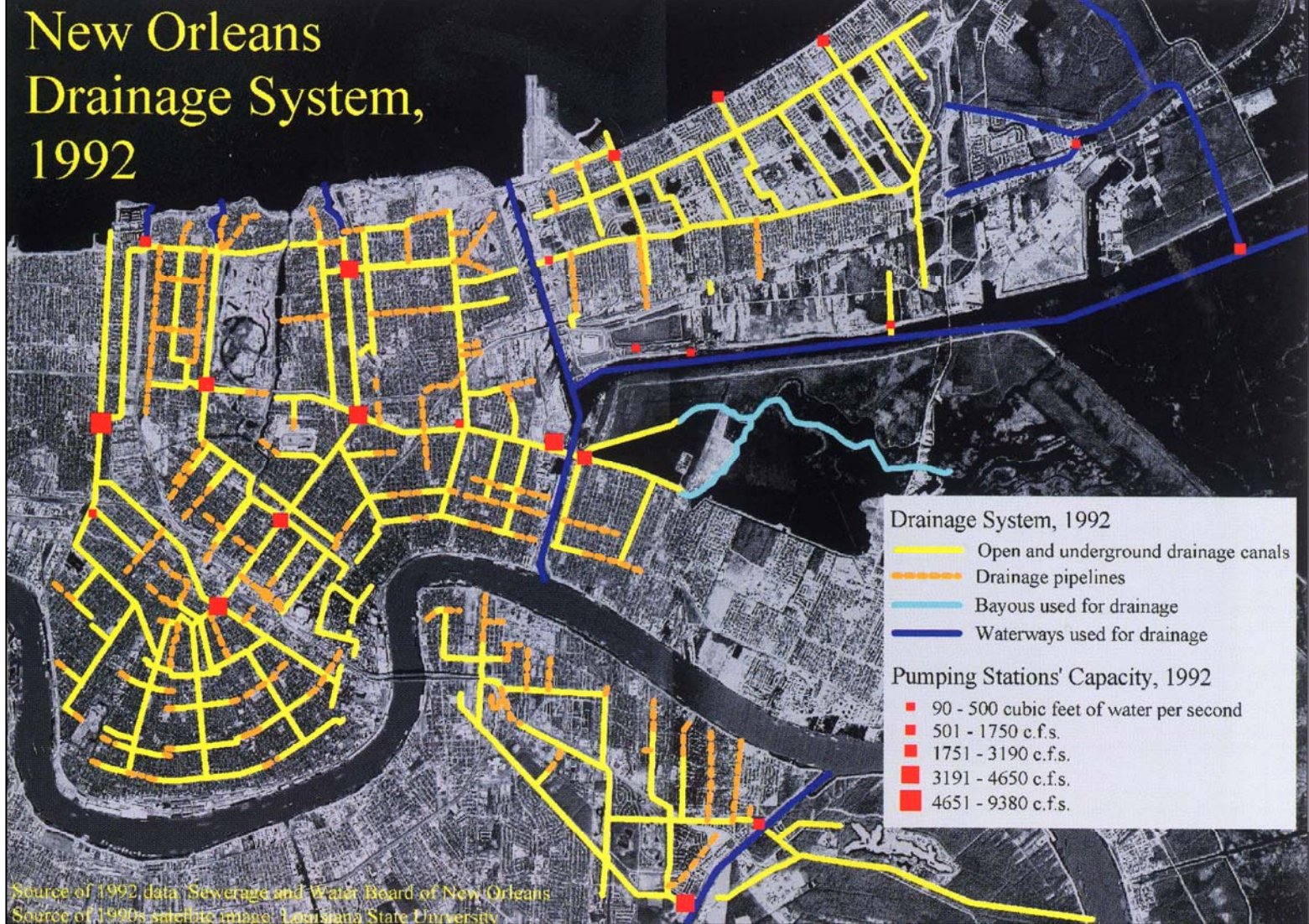
- View looking up the east side of the London Avenue Canal near Robert E. Le Boulevard crossing showing the **encroachment of homes against the slope of the levee**. This situation was common across New Orleans



- **Concrete flood wall** along the west side of the 17<sup>th</sup> Street Canal in Jefferson Parish, where a street runs along the toe of the embankment. This scene is typical of the concrete I-walls constructed on steel sheetpiles driven into the crest of the drainage canal embankments in New Orleans in the 1990s to provide additional flood freeboard from hurricane-induced storm surges

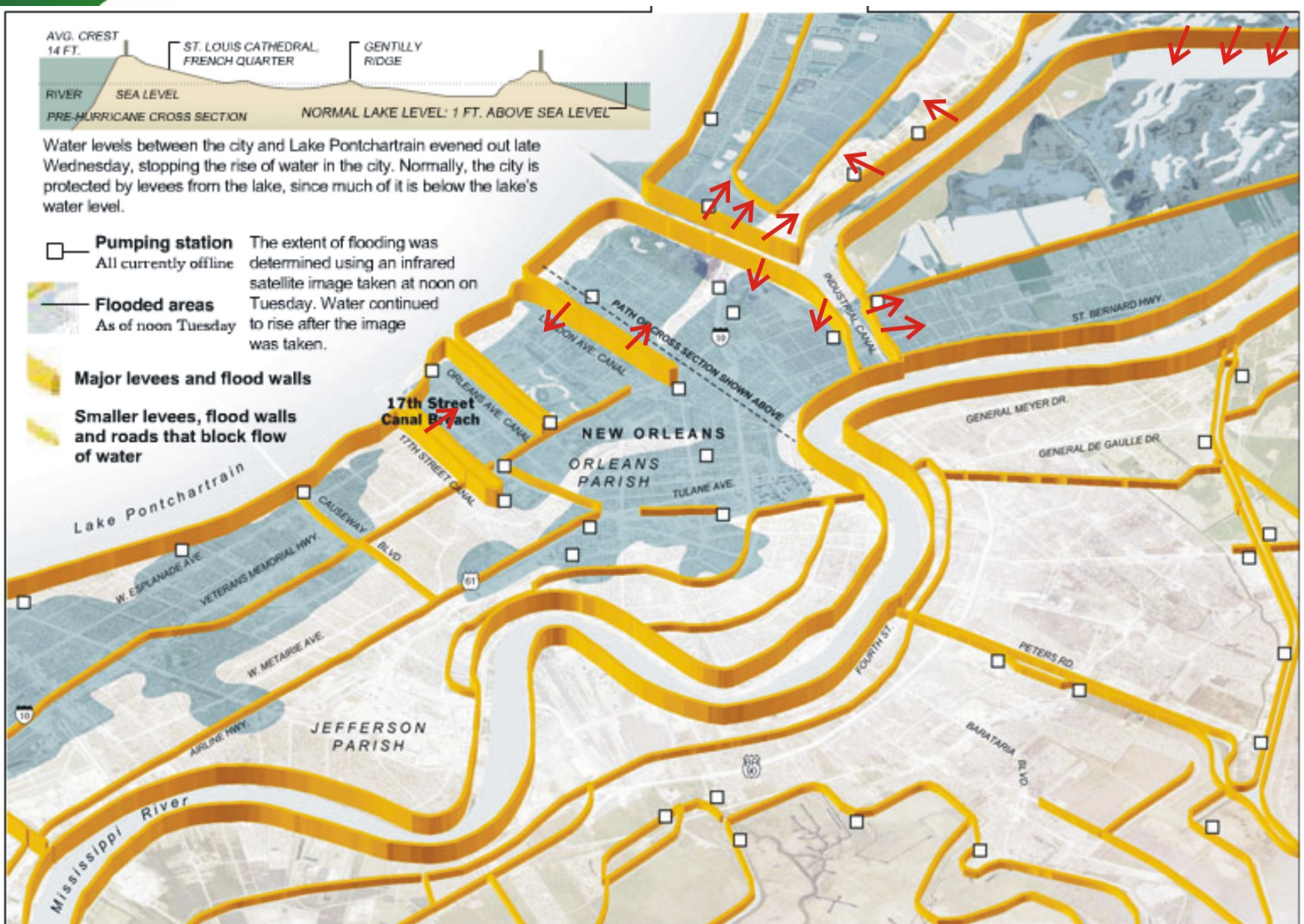


# New Orleans Drainage System, 1992



- **Principal elements of the pre-Katrina drainage system infrastructure as it existed in 1992 (taken from Campanella, 2002).**
- **In 2005 the aggregate pump capacity could have cleared the city of flood waters from Katrina in less than three days if the levees had simply been overtopped without failing.**





- **New Orleans flood protection system at the time Hurricane Katrina struck the city on August 29, 2005 (from the New New York Times). New Orleans has not been molested by flooding from the Mississippi River since 1859; all of the destructive floods have emanated from storm surges on Lake Pontchartrain and Lake Borgne.**



## Part 3

# SYSTEMIC FAILURES OF FLOOD CONTROL INFRASTRUCTURE DURING KATRINA



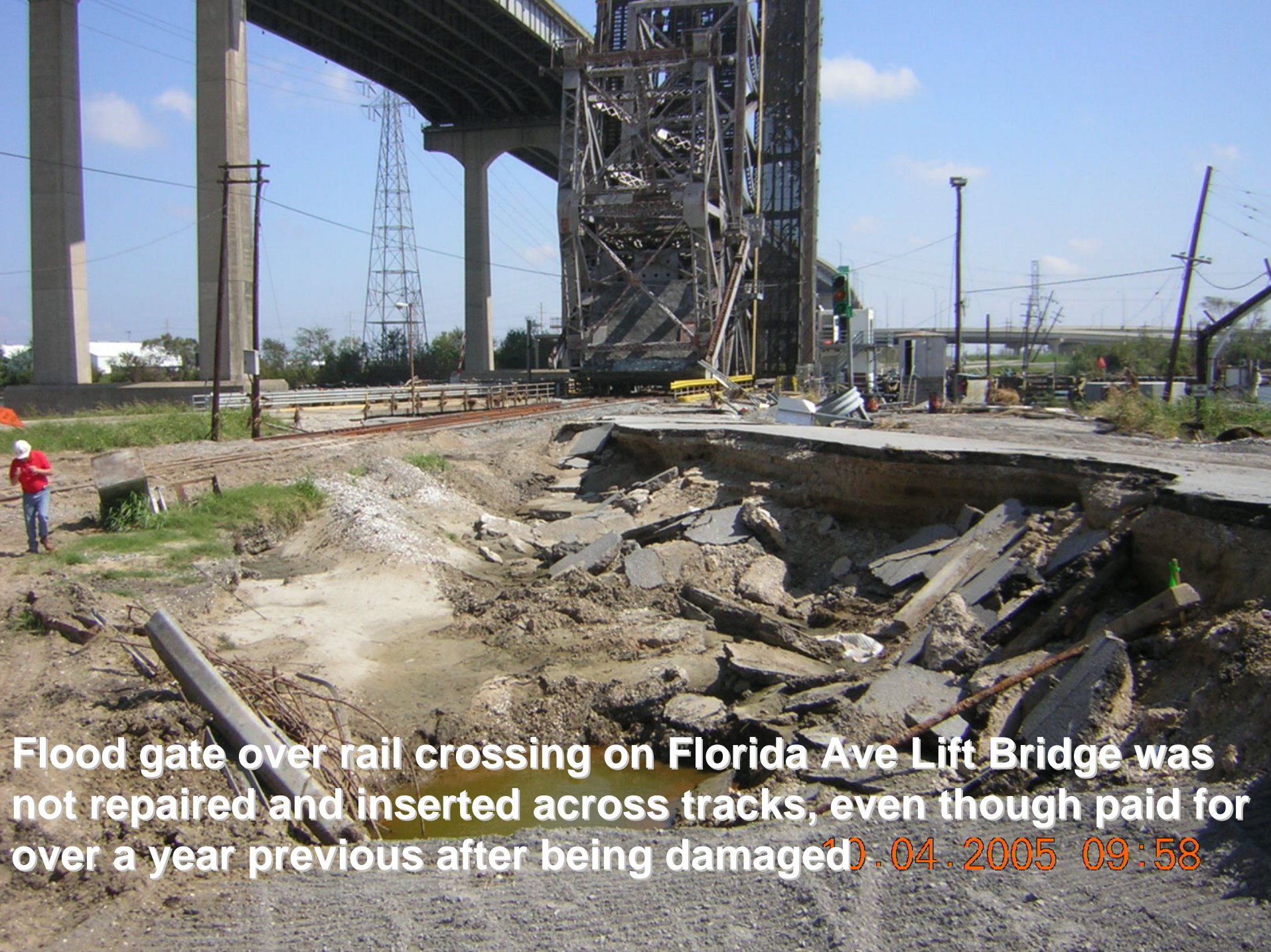
- **Around 6 AM on August 29<sup>th</sup> the 9 ft storm surge swept into the Inner Harbor Navigation Canal area, engulfing the Entergy Power Plant area with waves up to 17 ft high.**





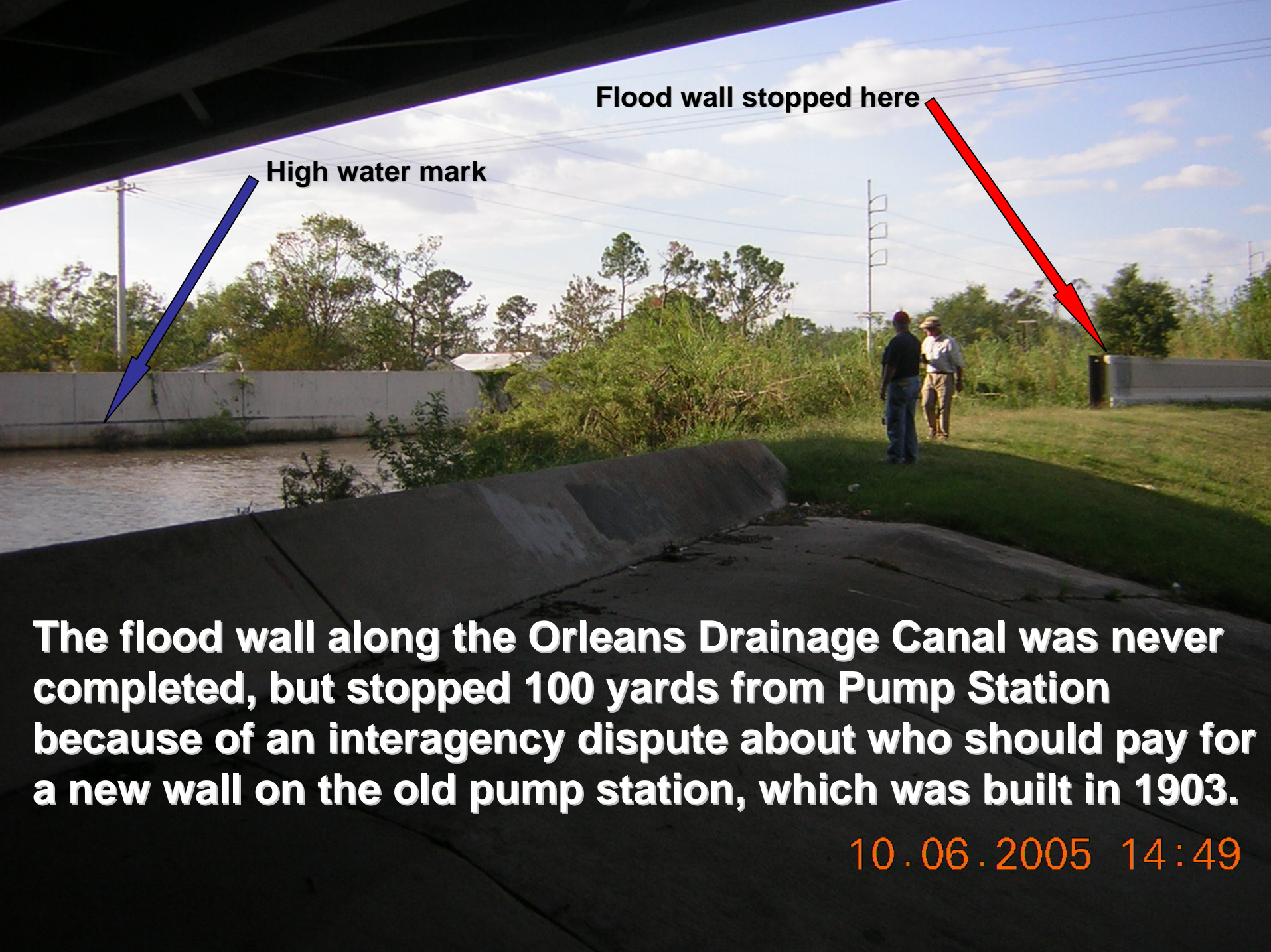
- **Miles of levees just disappeared: MRGO levee completely washed away about two miles southeast of Bayou Dupree.**





**Flood gate over rail crossing on Florida Ave Lift Bridge was not repaired and inserted across tracks, even though paid for over a year previous after being damaged** 10.04.2005 09:58





**Flood wall stopped here**

**High water mark**

**The flood wall along the Orleans Drainage Canal was never completed, but stopped 100 yards from Pump Station because of an interagency dispute about who should pay for a new wall on the old pump station, which was built in 1903.**

**10.06.2005 14:49**

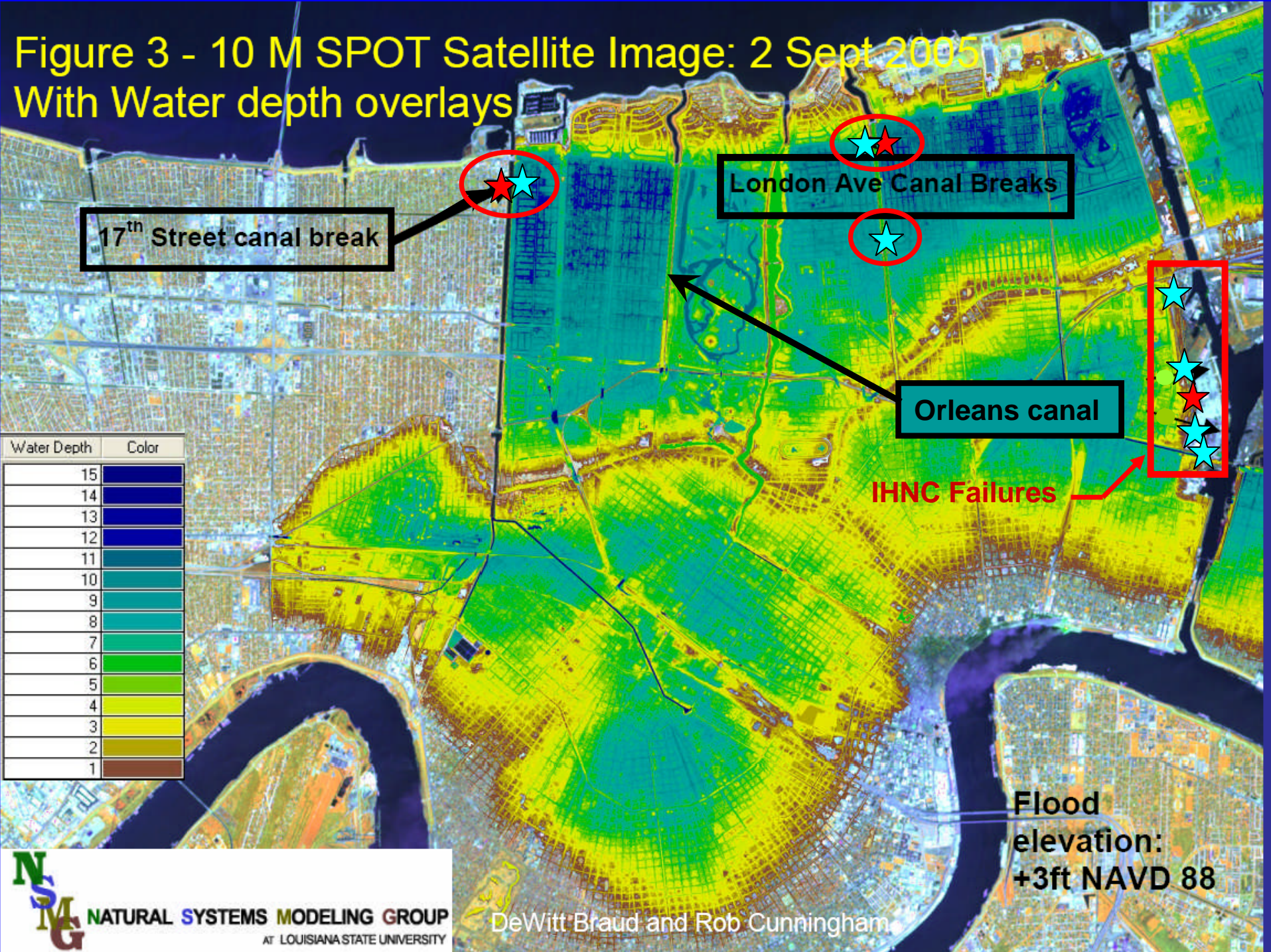




- **Army helicopters and contractors worked for weeks to fill the enormous gaps in the levee system, BEFORE pumping could begin.**



Figure 3 - 10 M SPOT Satellite Image: 2 Sept 2005  
 With Water depth overlays



17<sup>th</sup> Street canal break

London Ave Canal Breaks

Orleans canal

IHNC Failures

Flood elevation:  
 +3ft NAVD 88

Water Depth	Color
15	Dark Blue
14	Blue
13	Dark Teal
12	Teal
11	Light Teal
10	Greenish Teal
9	Green
8	Light Green
7	Yellow-Green
6	Yellow
5	Light Yellow
4	Yellow
3	Light Yellow
2	Yellow
1	Brown





- **New Orleans neighborhoods were filled with as much as 12 feet of water, for up to 6 weeks**





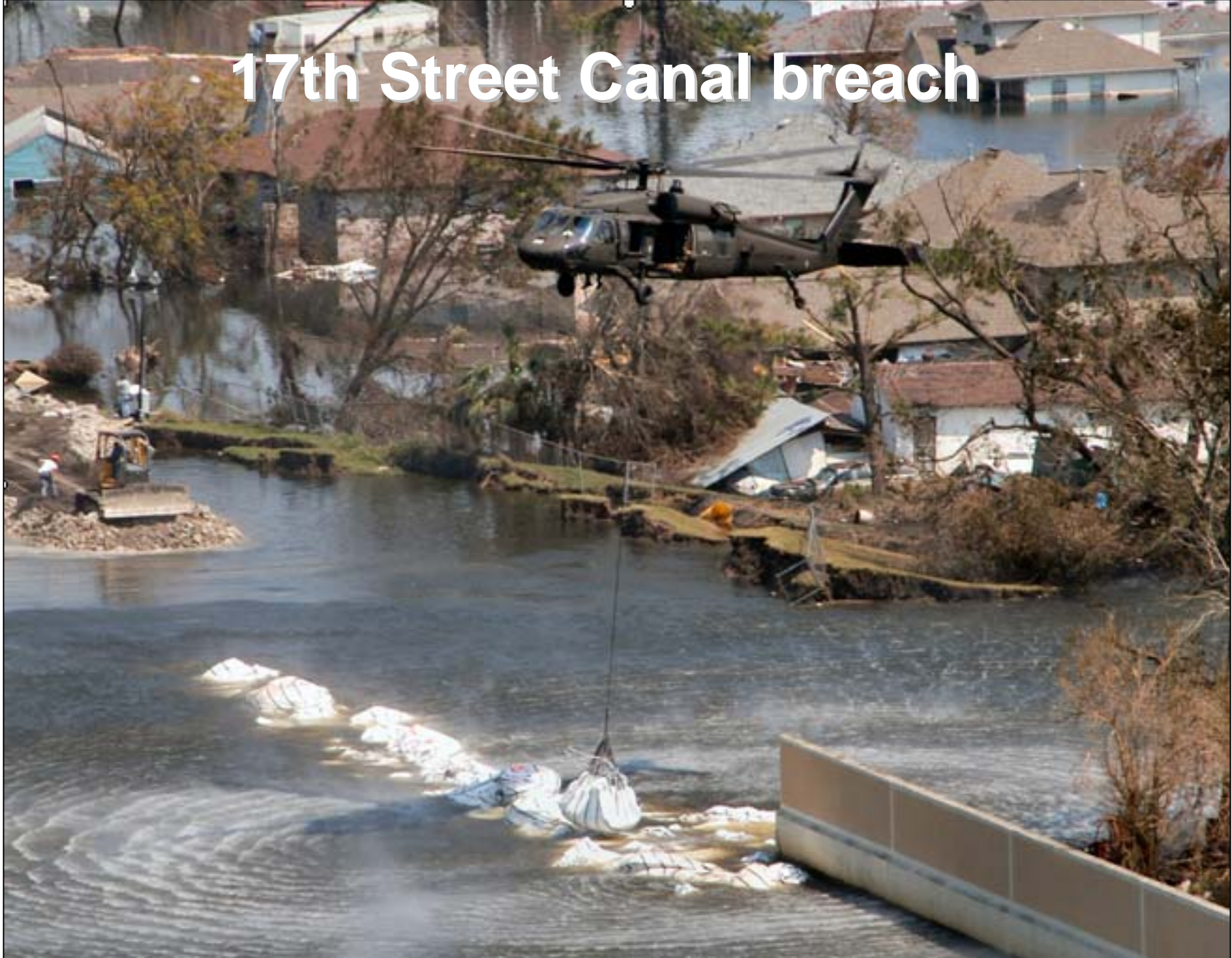
- Katrina left New Orleans under water, creating the worst flood in American history and the most expensive disaster, causing **\$24 billion** in claims to the **National Flood Insurance Program** and **\$200 billion** in overall damage.

## Part 4

# Nondestructive Testing of Sheetpile Foundation Lengths Along the Drainage Canals



# 17th Street Canal breach





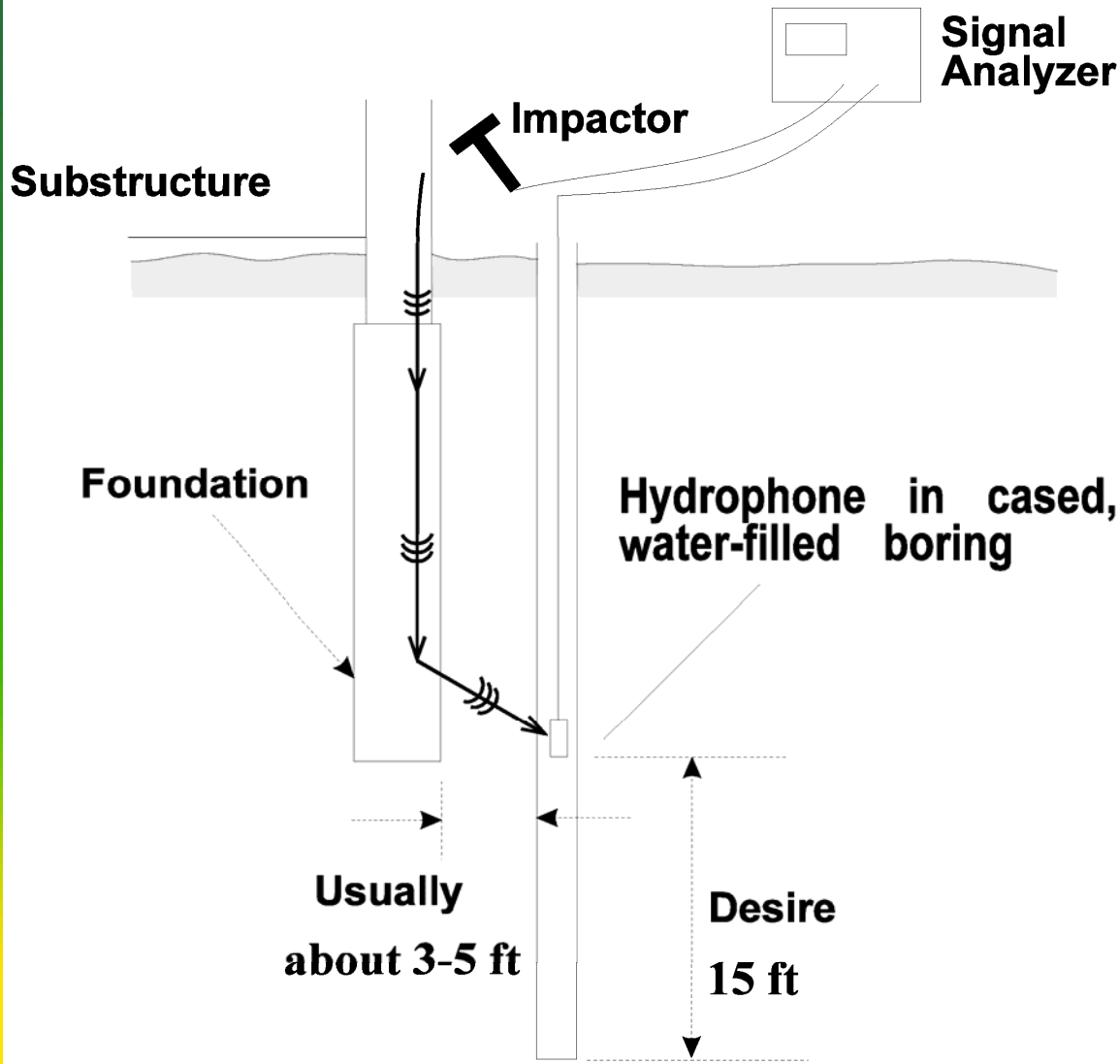
# Flood Walls on drainage canals



- In 1992-95 these 10 ft high concrete I-walls were constructed on the crests of the three principal drainage canals of lower New Orleans feeding into Lake Ponchartrain.
- These canals predate the residential development along their margins, which abuts the canal embankments.



# Parallel Seismic Tests



- The Corps of Engineers had drilled holes to 50 feet, but only cased them to 25 feet; when casing needed to extend about 15 feet below the hydrophones placed in these holes

**Foundation Depth Determination with the Parallel Seismic Test**



**Figure 2** - Photographs of impacting Wall of IHNC Levee at South Borehole of South Breach and Freedom Data PC at Cased Borehole with Hydrophone Receiver Downhole

- **Photo showing energy being tapped into base of one of the concrete I-walls by a consultant in October 2005. These tests were complicated by a very weak signal making it through the concrete shell into the top of the sheetpiles, and down to the supposed sheetpile tips.**



# Initial Conclusions

- **Two sets of tests were run by two different consultants, working for the Army Corps of Engineers and the Team Louisiana at LSU**
- **In November 2005 both consultants reported that their results suggested that the sheetpiles only extended 10 to 13 feet below the levee crests, in contrasts to the 17.5 ft prescribed in the 1993-94 construction documents.**
- **Charges of malfeasance erupted in the media and the integrity of the Corps of Engineers was questioned.**

---

## *Probe shifts to original plans*

---

**By Mark Schleifstein  
and Bob Marshall**  
Staff writers

Measurements of sheet pilings pulled Tuesday from the 17th Street Canal confirmed that the foundation had been driven to the depths required by the Army Corps of Engineers. But those findings only turned the focus back on whether

the structure's basic design was key to the levee breach that flooded much of the city during Hurricane Katrina.

The pilings removed from beneath four wall segments on the north and south side of the break averaged 23.5 feet long, corps officials said. That means they extended to about 17 feet below sea level, as described in corps design documents.

The measurements, however, were somewhat surprising because recent seismic/sonar testing by the corps had predicted that the sheet piles just north and south of the breach reached to only about 10 feet below sea level. That's the same depth found by a testing company hired by Team Louisiana, a group of six Louisiana State University professors

and three independent engineers investigating the levee failures for the state Department of Transportation and Development.

That depth raised questions about possible malfeasance in the construction and prompted the corps to spend Monday and Tuesday pulling sections of the floodwall for examination by forensic experts. Corps officials said they plan to measure sheet piling at the ruptured London Avenue and Industrial Canal for measurements as repairs there proceed.

While the hands-on measurements seem to reduce the possibility of criminal conduct, corps officials and independent engineers said serious questions

*See PILINGs, A-12*

- **Geophysical studies by two consultants agreed that the sheetpile foundations beneath the I-walls on the 17<sup>th</sup> Street Canal were 7 feet shorter than prescribed in the contract documents, spurring the Corps of Engineers to investigate allegations of malfeasance.**

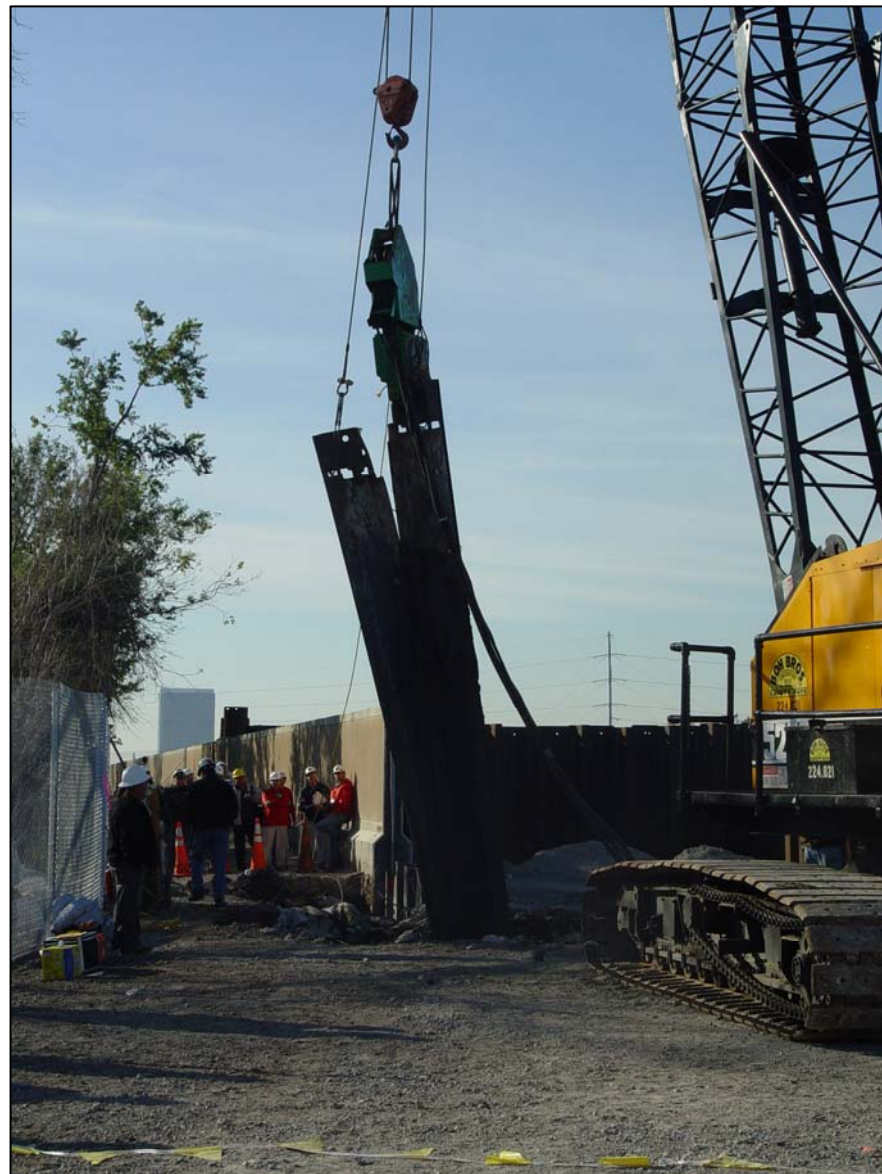


# Preparing for the pull tests

- On December 12, 2005 the Corps of Engineers had a local contractor chip off the intact concrete I-wall poured over the steel sheetpile caisson foundation along either end of the 17<sup>th</sup> St Canal breach
- This was where the Corps consultant had run their tests alleging short sheets







- **Pulling sheetpiles at south end of 17<sup>th</sup> Canal breach on December 13, 2005**



# The Big Surprise



- The sheetpiles turned out to be 23'-6" long, slightly longer than required in the 1993 construction documents



# Intense Media Coverage

- All the major news services covered the sheetpile pull-out tests
- The Corps of Engineers and the local contractors felt vindicated, even though the sheetpiles were of inadequate length to cutoff underseepage





# A Frenzy of National Media Coverage

A-12 WEDNESDAY, DECEMBER 14, 2005 THE TIMES-PICAYUNE

HURRICANE AFTERMATH



## Board wants more pumps

**PILINGS**, from A-1

remain about how the wall failed during the Aug. 29 storm.

"The investigation will be ongoing until we find out exactly why, the scientific and engineering reasons why some levee parts of the system were able to withstand the forces of this hurricane and others did not," said Brig. Gen. Robert Crear, commander of Task Force Hope, which is assisting in the recovery of the New Orleans area.



STAFF PHOTO BY ELLIS LUCIA

Investigators from various agencies inspect the second section of sheet piling removed from the 17th Street canal. 'We need to check every foot of the levees,' said Michael McCrossen, acting chairman of the Orleans Levee District. 'Random tests are not enough.'



# The Headlines

- The headlines of major newspapers on December 14, 2005 reported that the sheetpiles met the contract specifications and that allegations of malfeasance were, therefore, false.
- Everyone's curiosity then shifted to figuring out how both consultants could have been wrong ?

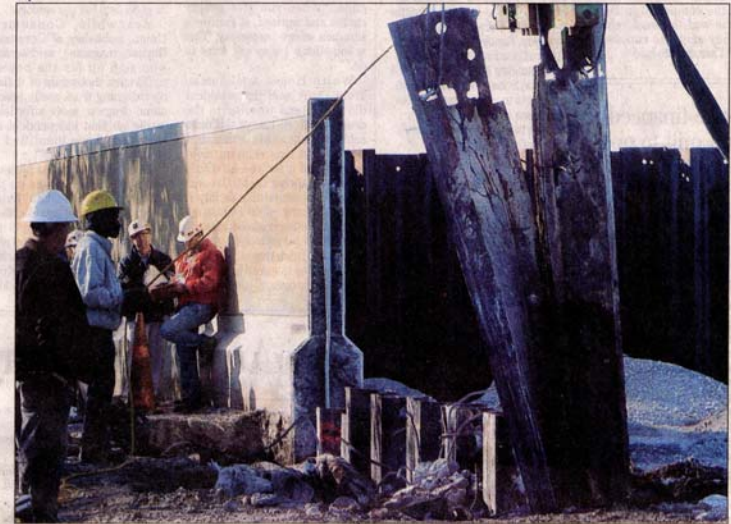
## The Times-Picayune

Year No. 327

WEDNESDAY, DECEMBER 14, 2005

METRO EDITION

### Corps finds pilings at designed depth



STAFF PHOTO BY ELLIS LUCA

Sections of sheet pilings are pulled Tuesday from the Orleans Parish side of the 17th Street canal. The removed pilings extended to about 17 feet below sea level, as described in corps design documents. However, recent seismic and sonar testing had predicted that the sheet piles near the breach reached to only about 10 feet below sea level.

#### Probe shifts to original plans

By Mark Schiefstein  
and Bob Marshall

Staff writers

Measurements of sheet pilings pulled Tuesday from the 17th Street Canal confirmed that the foundation had been driven to the depths required by the Army Corps of Engineers. But those findings only turned the focus back on whether

the structure's basic design was key to the levee breach that flooded much of the city during Hurricane Katrina.

The pilings removed from beneath four wall segments on the north and south side of the break averaged 23.5 feet long, corps officials said. That means they extended to about 17 feet below sea level, as described in corps design documents.

The measurements, however, were somewhat surprising because recent seismic/sonar testing by the corps had predicted that the sheet piles just north and south of the breach reached to only about 10 feet below sea level. That's the same depth found by a testing company hired by Team Louisiana, a group of six Louisiana State University professors

and three independent engineers investigating the levee failures for the state Department of Transportation and Development.

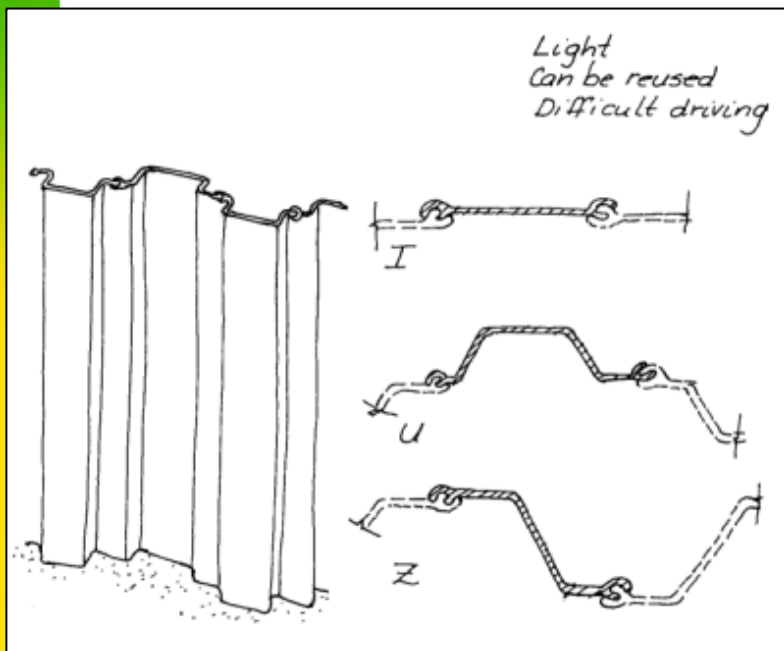
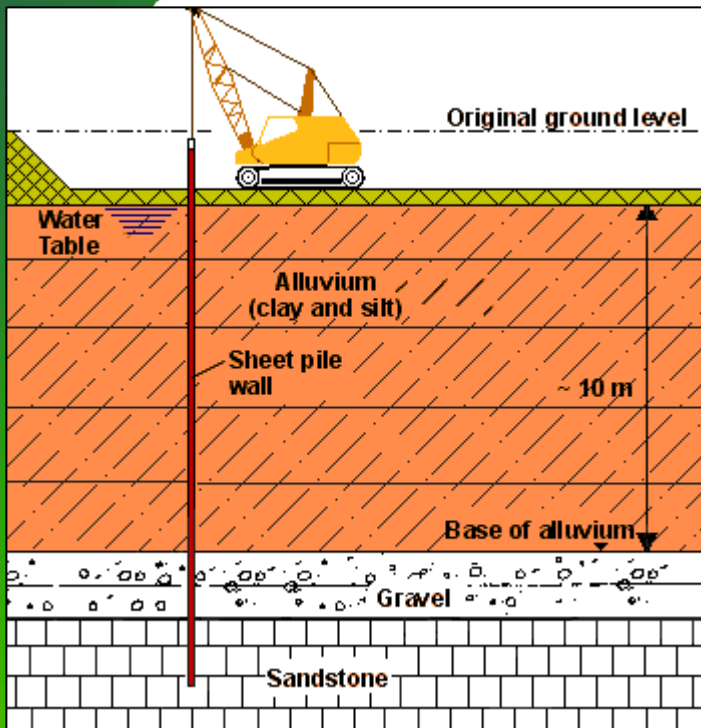
That depth raised questions about possible malfeasance in the construction and prompted the corps to spend Monday and Tuesday pulling sections of the floodwall for examination by forensic experts. Corps officials said they plan to measure sheet piling at the ruptured London Avenue and Industrial Canal for measurements as repairs there proceed.

While the hands-on measurements seem to reduce the possibility of criminal conduct, corps officials and independent engineers said serious questions

See **PILINGS**, A-12



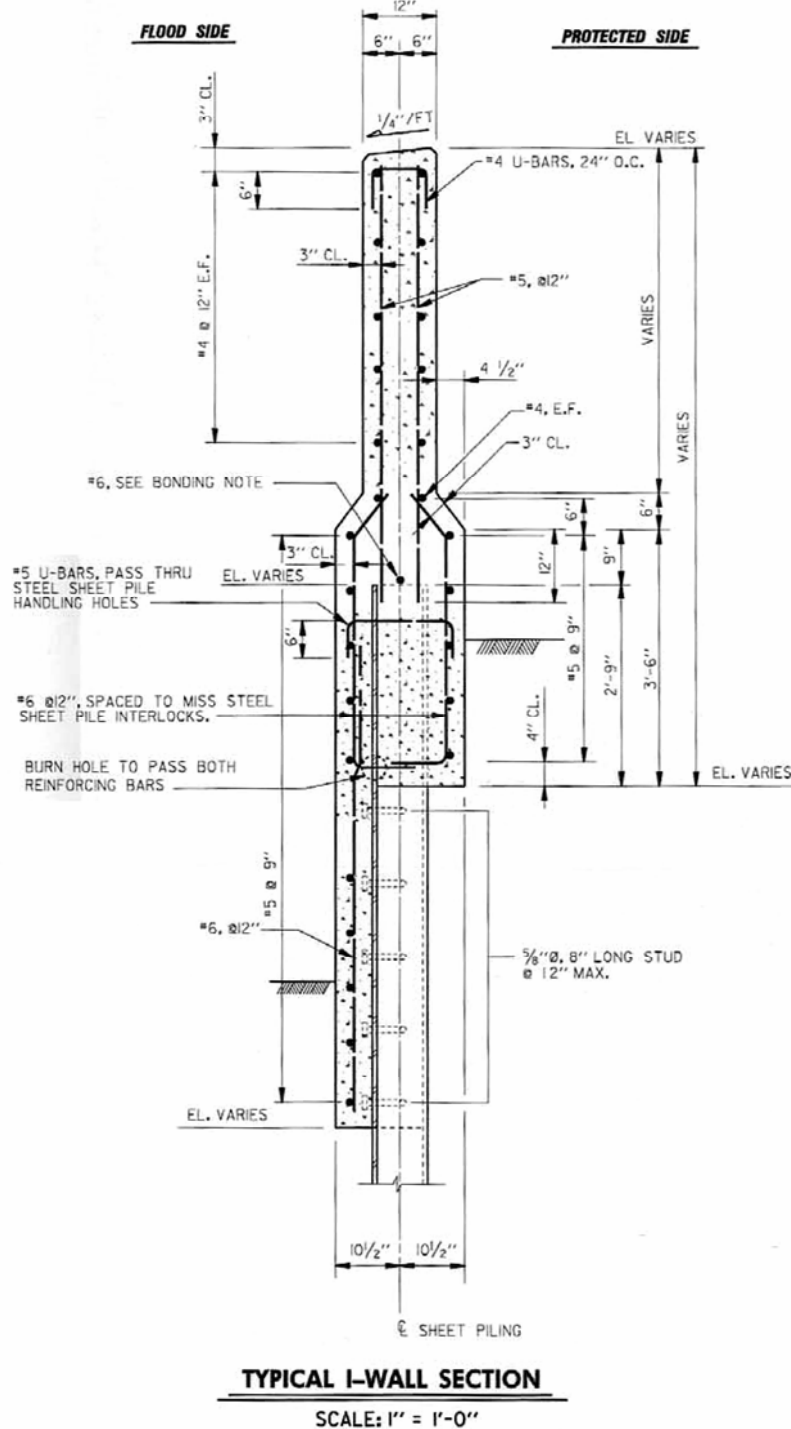
# Sheet piles are long linear structures with high slenderness ratios



They are mechanically interlocked, but without any continuous contact, such as welds or integral structural attachments

# Other Sources of Energy Dissipation

- The methods developed for parallel seismic tests had been calibrated for thick steel H-piles, not for thin, flimsy, irregularly connected sheetpiles
- Significant energy loss through concrete cap into foundation soils and into the discontinuous sheetpiles





# Importance of hole separation

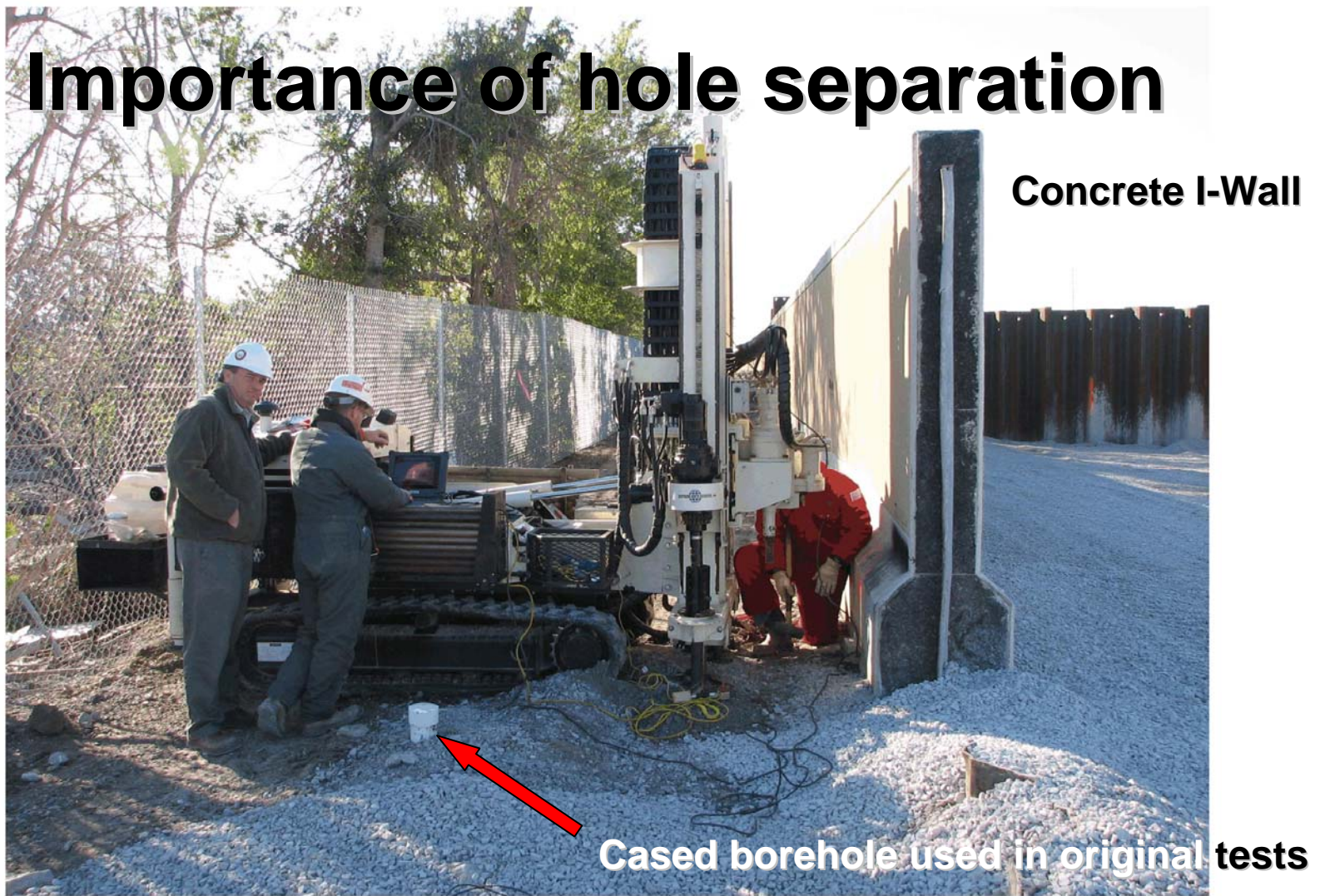


Photo 1 - Geoprobe Rig for PS/SCPT testing at South End of Breach of 17<sup>th</sup> Street Levee at Station 17+78 where sheet piles were exposed by USACE PS Cased Borehole (white PVC cap visible)



# Energy Input methods



Photo 3 (Fig. 1) - Horizontal impact to sheet pile at 0.5 ft below concrete wall - protected levee side



Photo 4 (Fig. 2) - Horizontal impact to side of concrete wall at el. 5 about 0.5 ft below chamfer



Photo 5 (Fig. 3) - Angled downward impact to chamfer of wall at ~ el. 5.75



Photo 6 (Fig. 4) - Vertical downward impact to top of wall

**Hammering on top of the concrete wall (Photo 6) produced the best results, without any site modification**

- **Re-testing by Olson Engineering in January 2006 experimented with various techniques of inputting wave energy**





Photo 7 (Fig. 5) - Angled impact to 1 inch diameter, 6 ft long steel rod held at an angle on sheet pile side at 0.5 ft below concrete - protected levee side



Photo 8 - 3-lb Impulse Hammer with black hard plastic tip and small diameter hydrophone used in PS re-tests

- **The energy input method that gave the best results was employed hammer impact to steel rod actually contacting the sheetpile. This required some local excavation of the footing.**



Photo 9 - IHNC 3-lb Impulse hammer impacts to sheet pile on canal side at Station 17+11



Photo 10 - Small Hydrophone receiver on tape in 1 inch PVC casing installed by Geoprobe Rig at IHNC

- **Another method used on the Inner Harbor Navigation Canal walls employed an impact hammer directly against the sheetpiles, after excavating a small trench. Olson Engineering also inserted hydrophones in small Geoprobe cone penetrometer sounding holes (right).**



Pre-Katrina



Post Katrina



- Contrast between **pre and post-Katrina** sheetpile lengths on the drainage canal walls

## Part 5

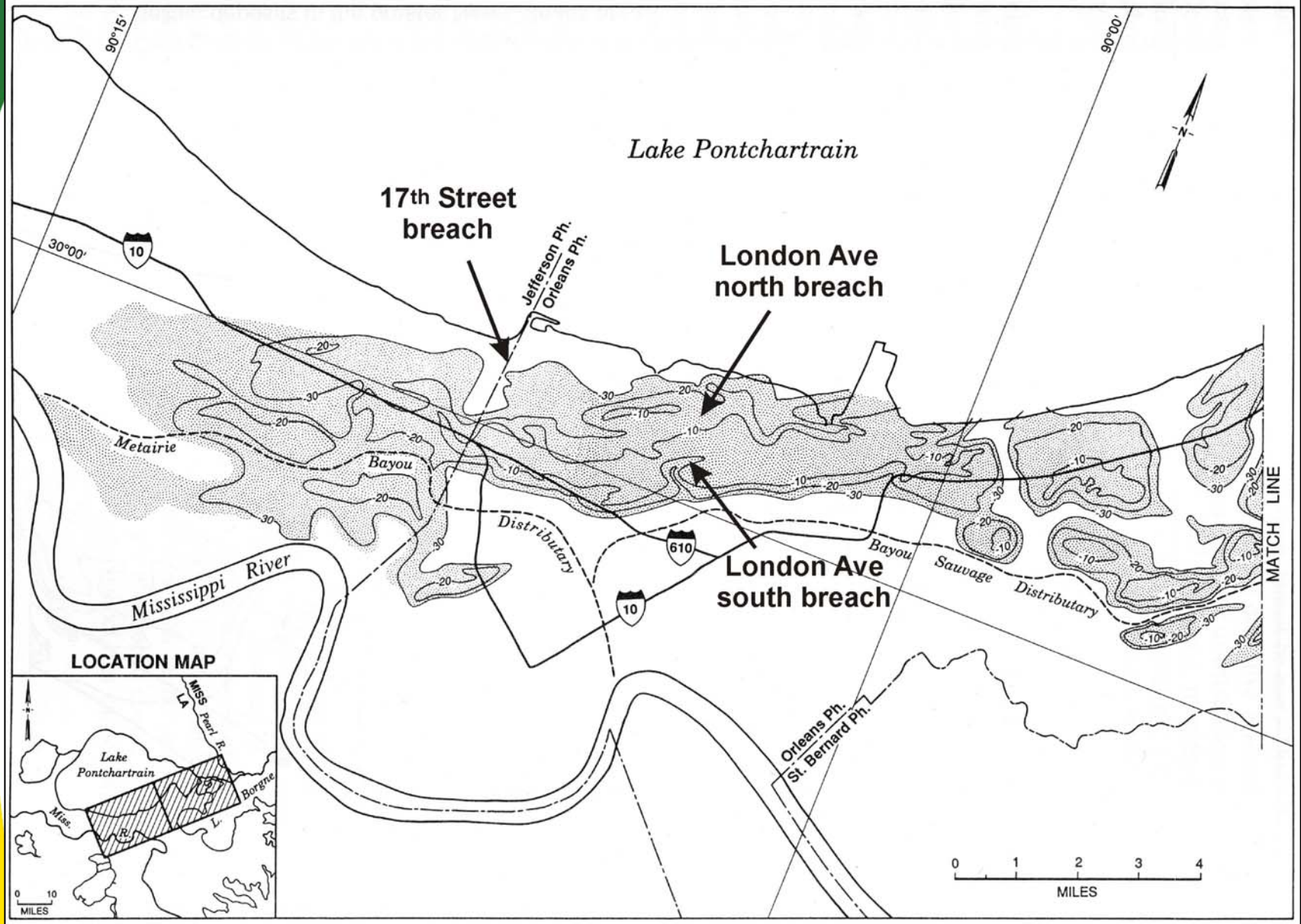
# ANALYSIS OF THE 17<sup>TH</sup> STREET DRAINAGE CANAL FAILURE





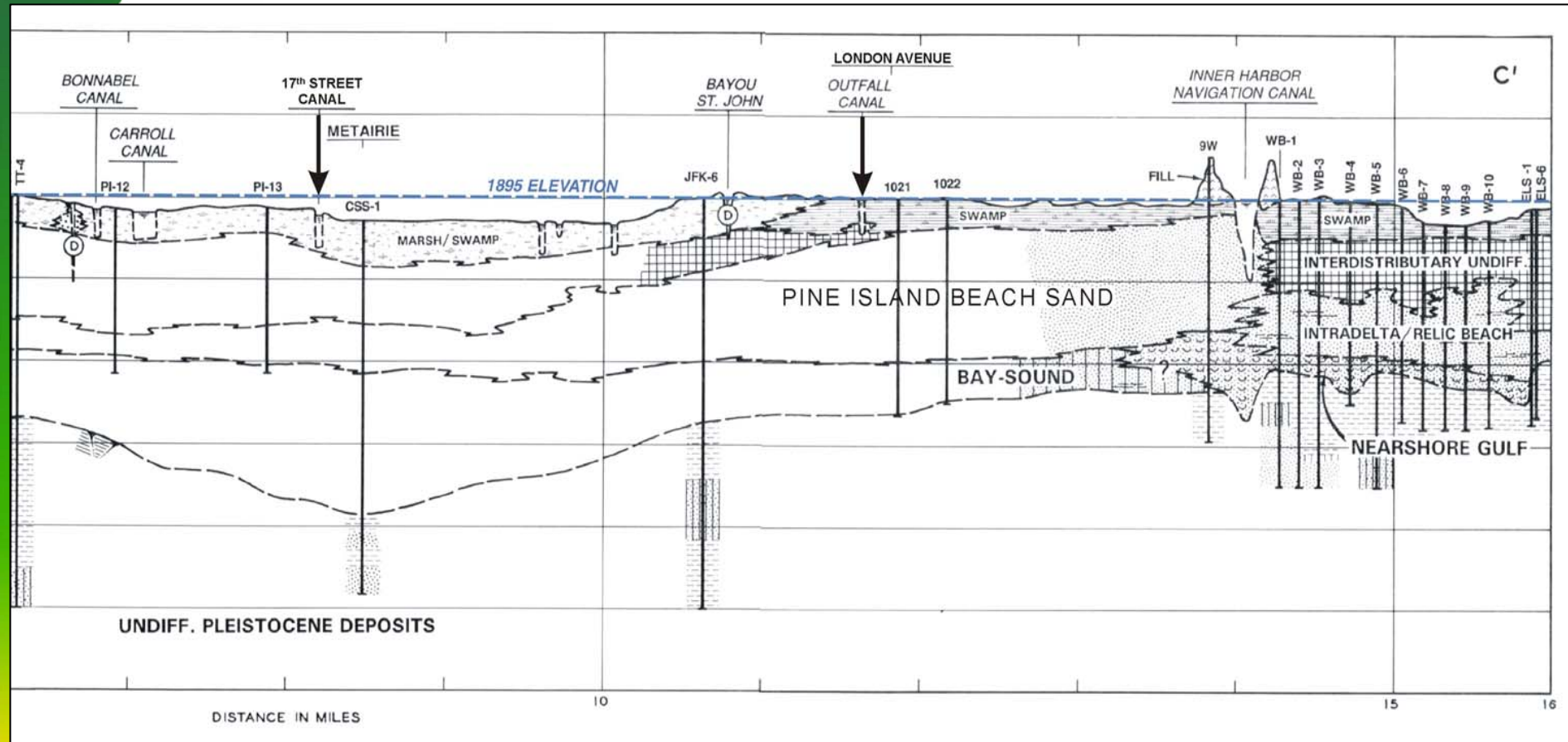
Embankment moved 51 ft

**The most recently constructed elements of the city's flood control infrastructure, built in the mid 1990s, performed miserably.**



- **Areal distribution and depth to top of formation isopleths for the Pine Island Beach Trend beneath lower New Orleans.**





- **Geologic cross section along south shore of Lake Pontchartrain in the Lakeside, Gentilly, and Ninth Ward neighborhoods, where the 17<sup>th</sup> Street, London Avenue, and IHNC levees failed during Hurricane Katrina on Aug 29, 2005. Notice the apparent settlement that has occurred since the city survey of 1895 (blue line), and the correlation between settlement and non-beach sediment thickness.**

# Drilling at last, in February



- After months of waiting, we were finally given permission to drill and sample the soils around the failed levees
- We soon learned that the foundation conditions beneath New Orleans were both unusual and treacherous
- Former Corps employees and local consultants provided the technical expertise our team needed to make the interpretations

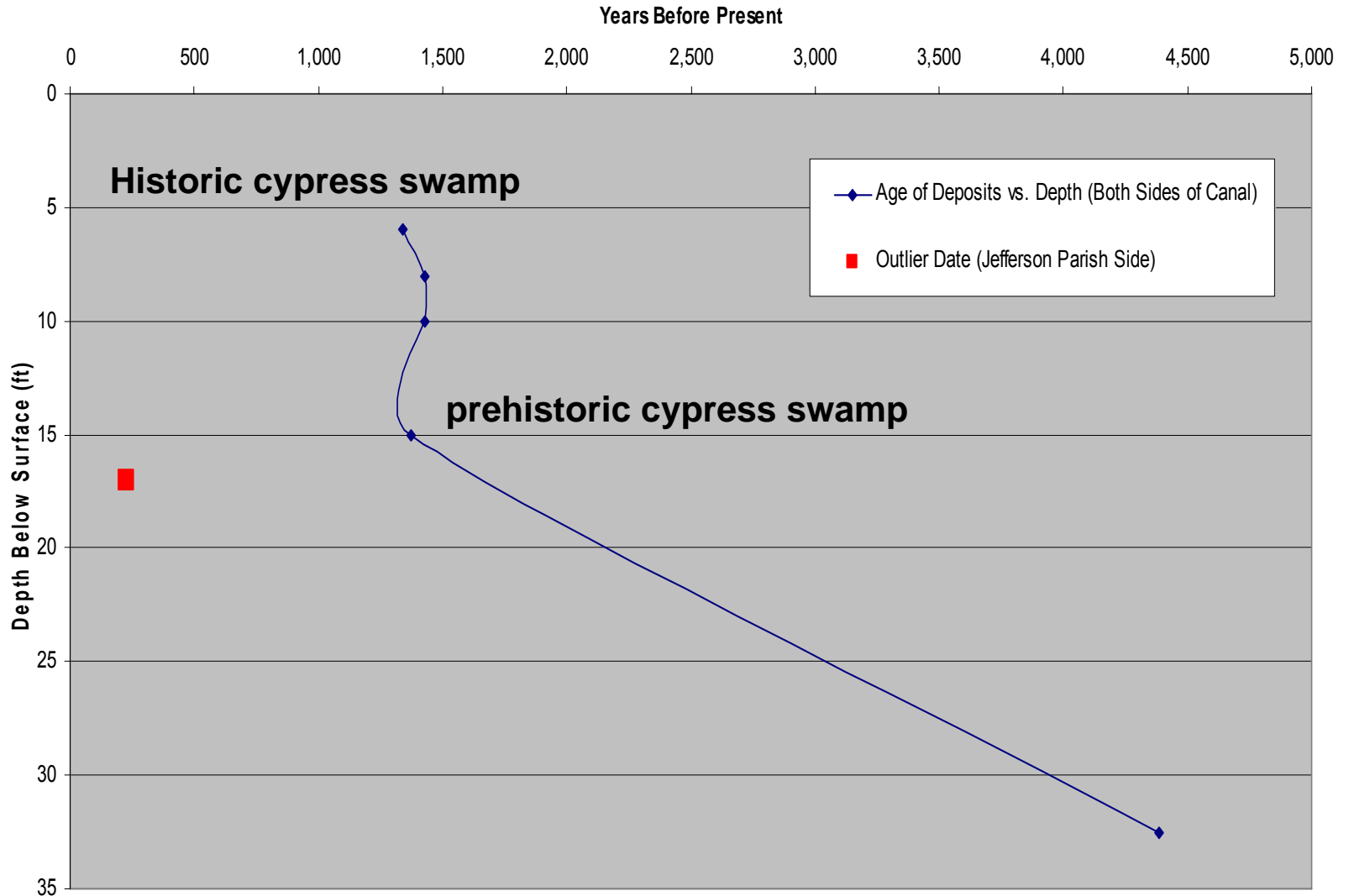






- **Drilling in a swamp environment.** It took us three tries to get one successful sample of the basal slip surface at each place we drilled

## Age of Deposits vs. Depth in Vicinity of 17th St. Canal Breach



- **C14 dates and depths suggest a rapidly filling paludal environment during the late Holocene**




## 17th St. OUTFALL CANAL LEVEE BREAK CROSS SECTION LOCATIONS

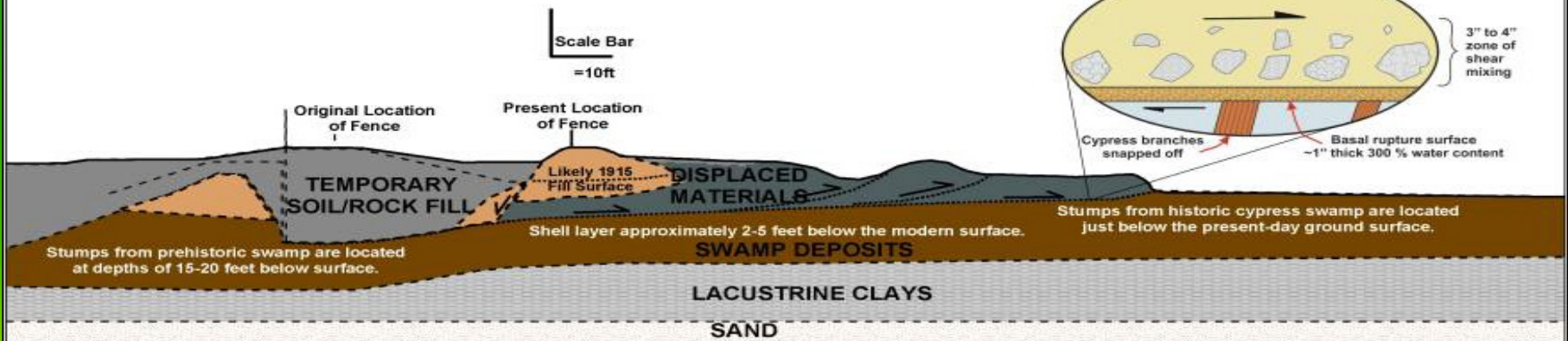


- **Aerial photo of the 17<sup>th</sup> Street Canal breach site before the failure of August 29, 2005. The red lines indicate the positions of the NSF team's geologic sections.**

**17th St. Canal Levee Break Cross Section, South  
After Construction of USACOE Temporary Embankment Fille and Sheet Pile Wall  
-From Intact Levee Block To Yard With School Bus**

**LEGEND**

-  Materials From Translated & Intact Portions of Levee Embankment - Silty clays
-  Temporary Embankment Materials - Mainly crushed limestone
-  Displaced Materials - Mostly swamp deposits with peat and highly organic clays containing cypress wood & roots
-  Old Swamp Deposits - Layers of highly organic clay with peats, humus, cypress wood & roots, a layer of shells, and some silt/fine sand lenses.
-  Lacustrine Clays - Contains silt/fine sand lenses with crushed shells near the base of layer
-  Sand - Contains some fines and broken shells - Hole bottom @ 36'









- **West-to-east geologic cross section through the 17<sup>th</sup> Street Canal failure approximately 60 feet north of Spencer Avenue. A detailed sketch of the basal rupture surface is shown above right.**
- **The slip surface was about one inch thick with a high moisture content (watery ooze). A zone of mixing 3 to 4 inches thick lay above this. Numerous pieces of cypress wood, up to 2 inches diameter, were sheared off along the basal rupture surface.**

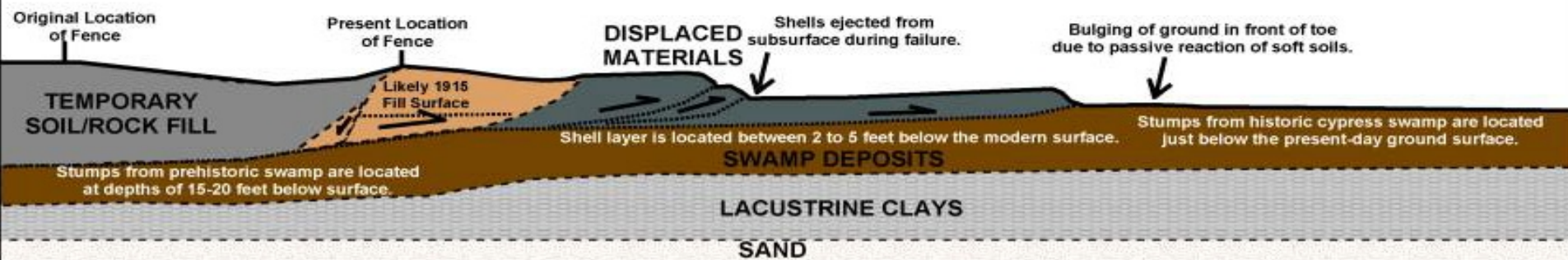


**17th St. Canal Levee Break Cross Section, North**  
**-From Intact Levee Block Between Two Houses Affected By Failure**

**LEGEND**

-  Materials From Translated & Intact Portions of Levee Embankment - Silty clays
-  Temporary Embankment Materials - Mostly crushed limestone
-  Displaced Materials - Mostly swamp deposits with peat and highly organic clays containing cypress wood & roots
-  Old Swamp Deposits - Layers of highly organic clay with peats, humus, cypress wood & roots, and some silt/fine sand lenses
-  Lacustrine Clays - Contains silt/fine sand lenses with crushed shells near the base of layer
-  Sand - Contains some fines and broken shells - Hole bottom @ 36'

Scale Bar  
= 10 ft



- **West-to-east geologic cross section through the 17<sup>th</sup> Street Canal failure approximately 140 feet north of the northern curb of Spencer Avenue.**
- **Large quantities of bivalve shells were extruded by high water pressure along the advancing toe thrusts. Note the slight back rotation of the distal thrust sheet.**



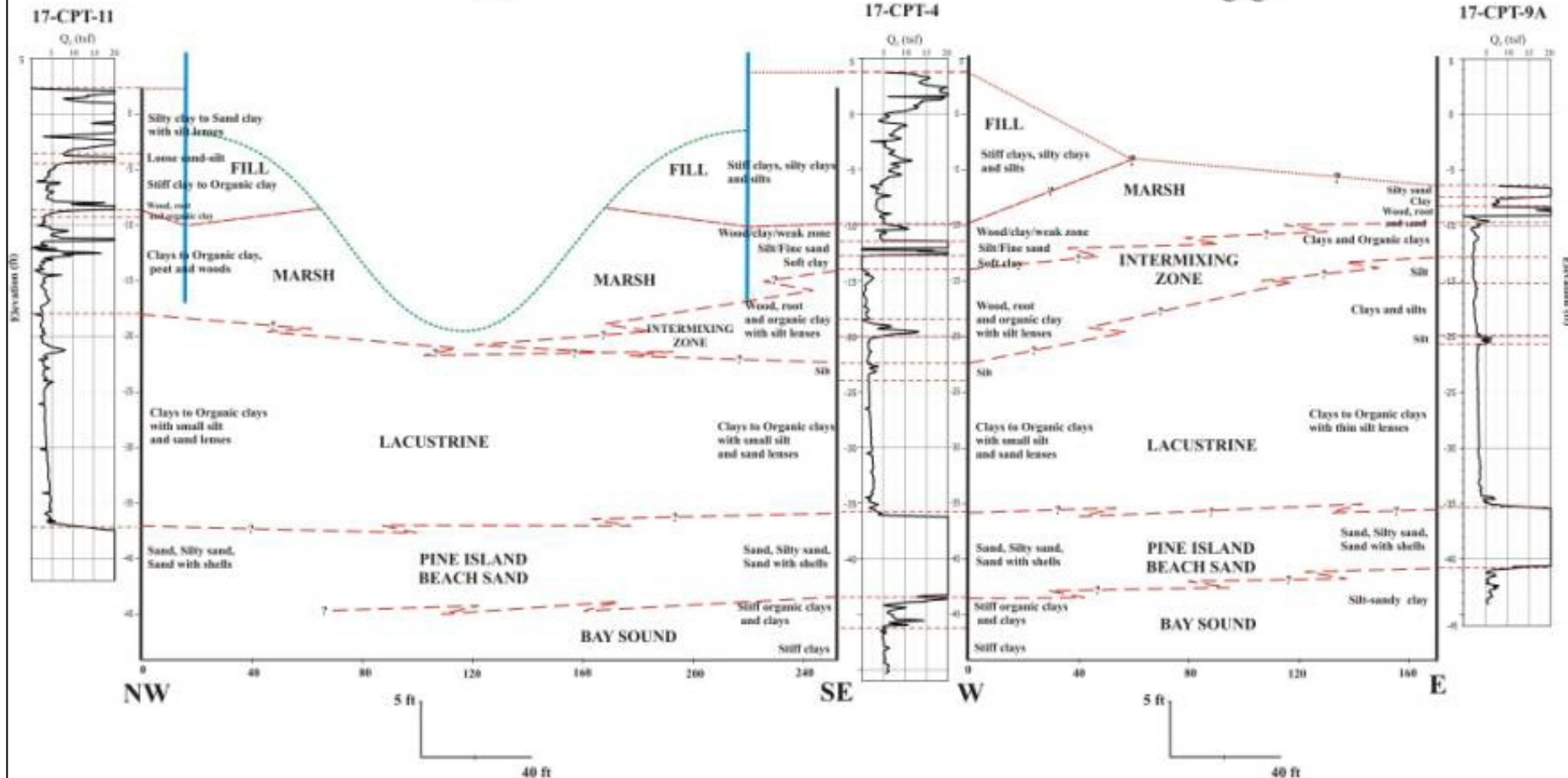


- **Bivalve shells ejected by high pore pressures emanating from toe thrusts on landside of failed levee at the 17 Street Canal (detail view at upper left). These came from a distinctive horizon at a depth of 2 to 5 feet below the pre-failure grade.**



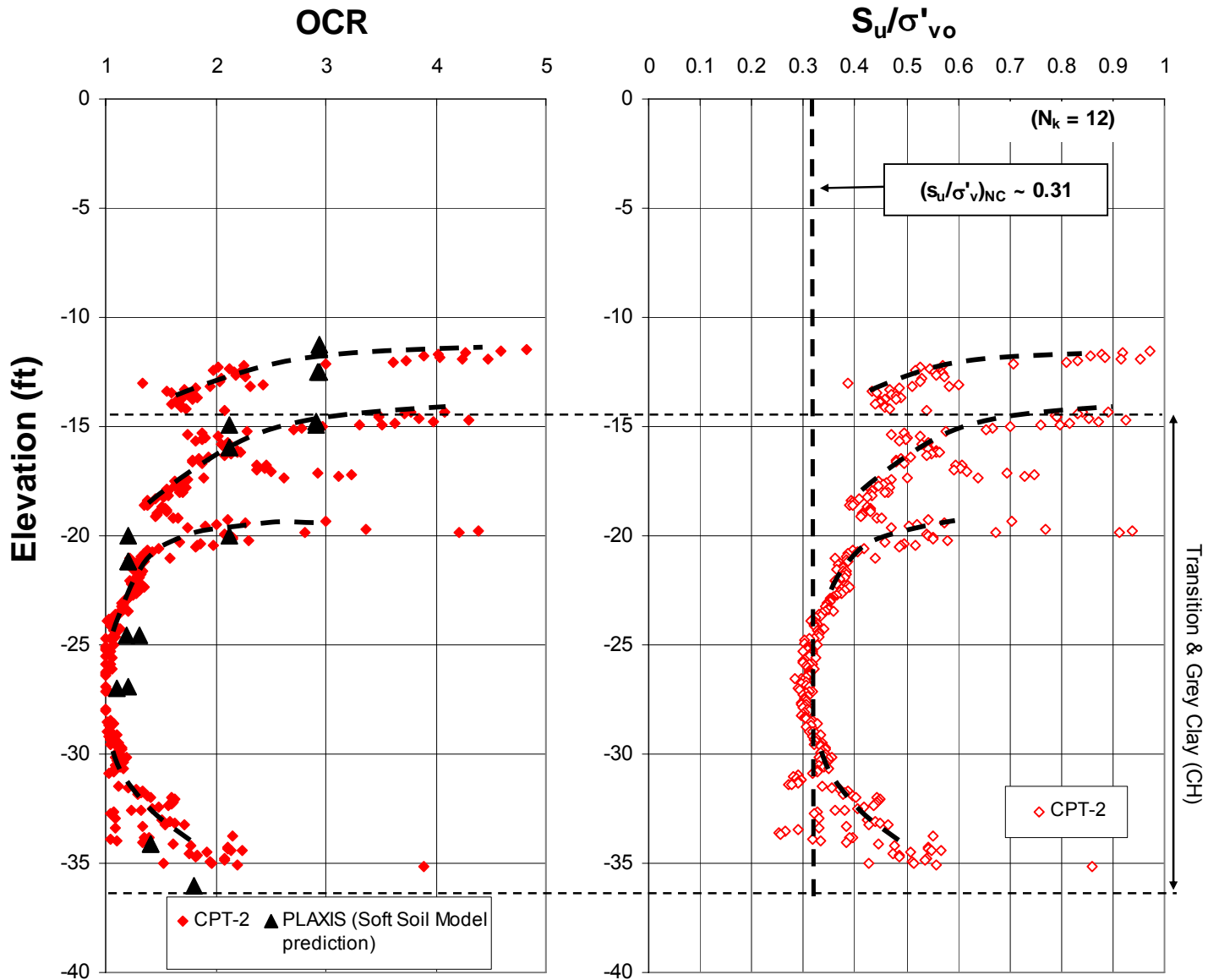
17th Street Canal Cross-section  
B-B'

17th Street Canal East Bank Cross-section  
C-C'



- **Stratigraphic interpretations across the 17<sup>th</sup> Street Canal breach.** The swamp much appeared to be thinning northerly, as does the underlying **Pine Island Beach Trend**. The lacustrine clays appear to thicken southward, as shown.
- The approximate positions of the flood walls (light blue) and canal bottom (dashed green) are based on information provided by the Corps of Engineers.

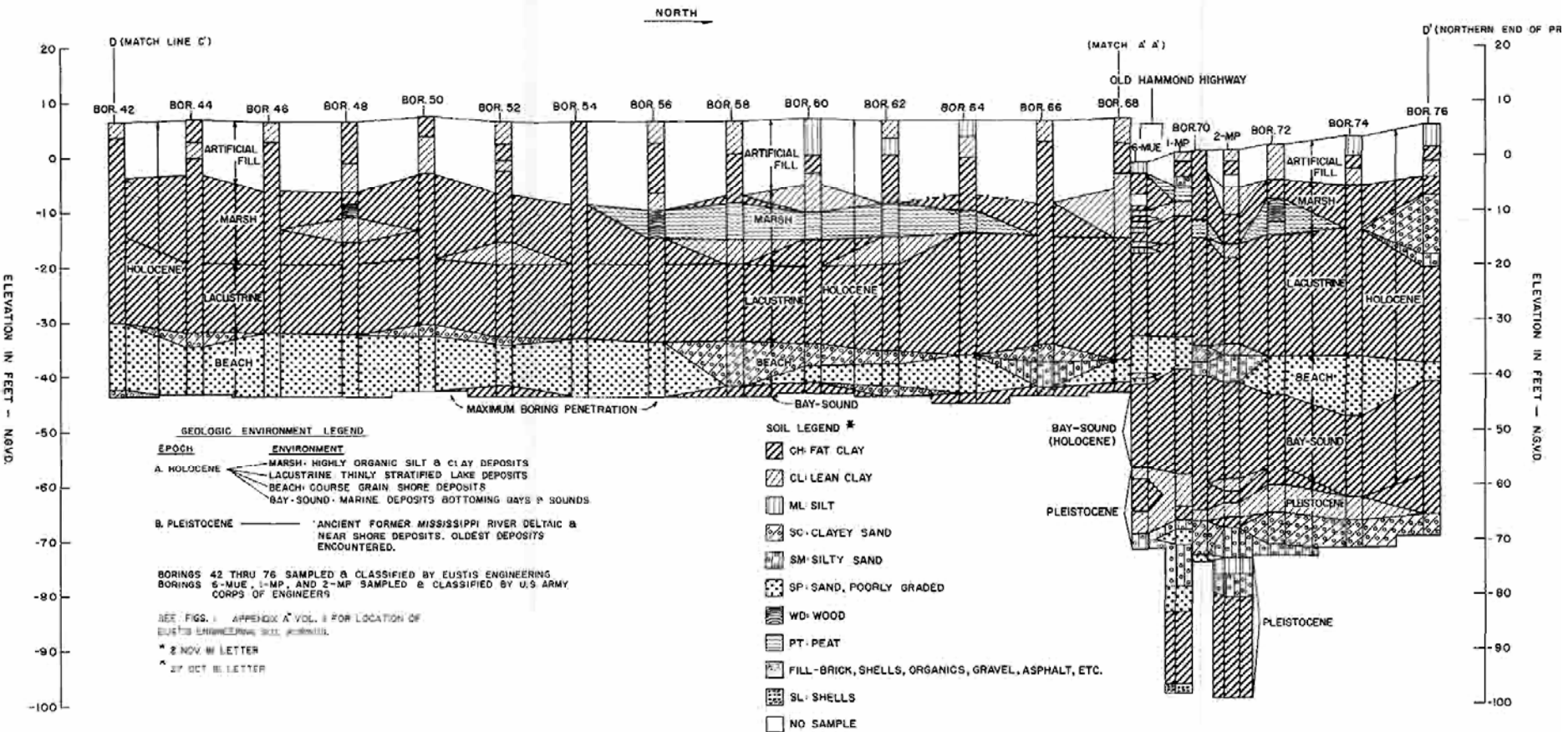
# 17<sup>th</sup> Street Canal: Soft Gray Clay (CH) Beneath the Toe of the Levee





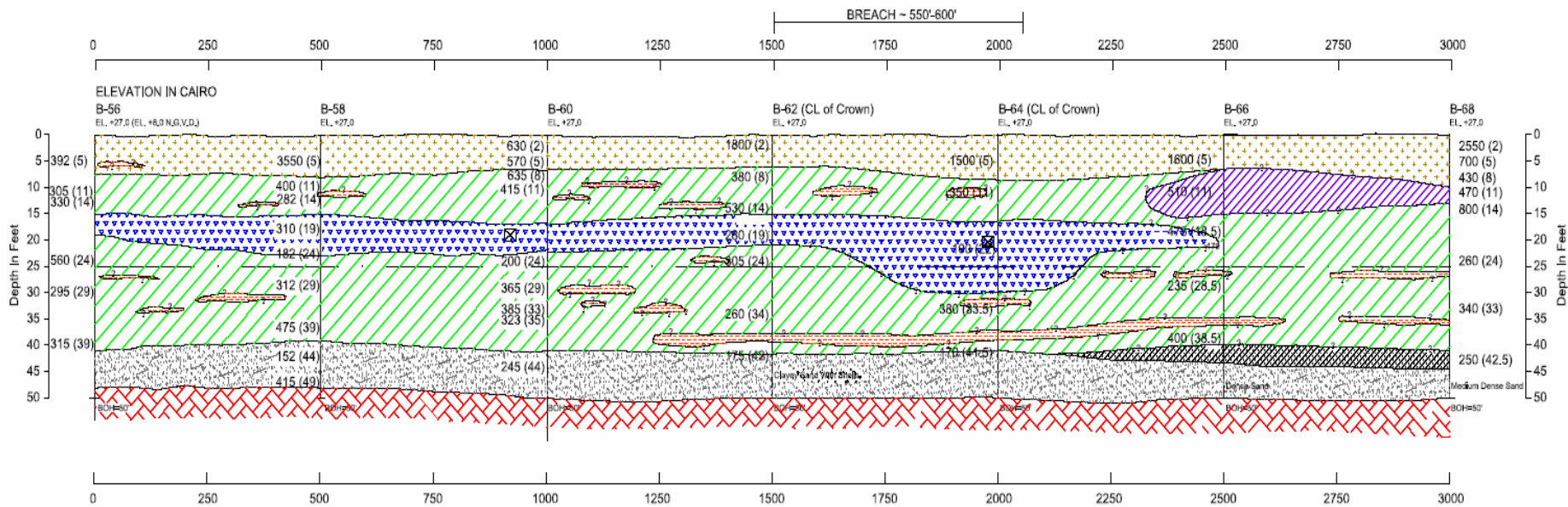
17<sup>TH</sup> STREET OUTFALL CANAL - EAST LEVEE (NORTHERN HALF)

BASELINE STATIONING  
 600+00 595+00 590+00 585+00 580+00 575+00 570+00 565+00 560+00 555+00 550+00 545+00 540+00



**Geologic profile for the 17<sup>th</sup> St Canal flood wall prepared by Corps' New Orleans District office in 1990. Three of four holes in vicinity of the 2005 failure (spaced 500 ft apart) had zero sample recovery. These contacts were projected and sheet pile tips designed, accordingly.**



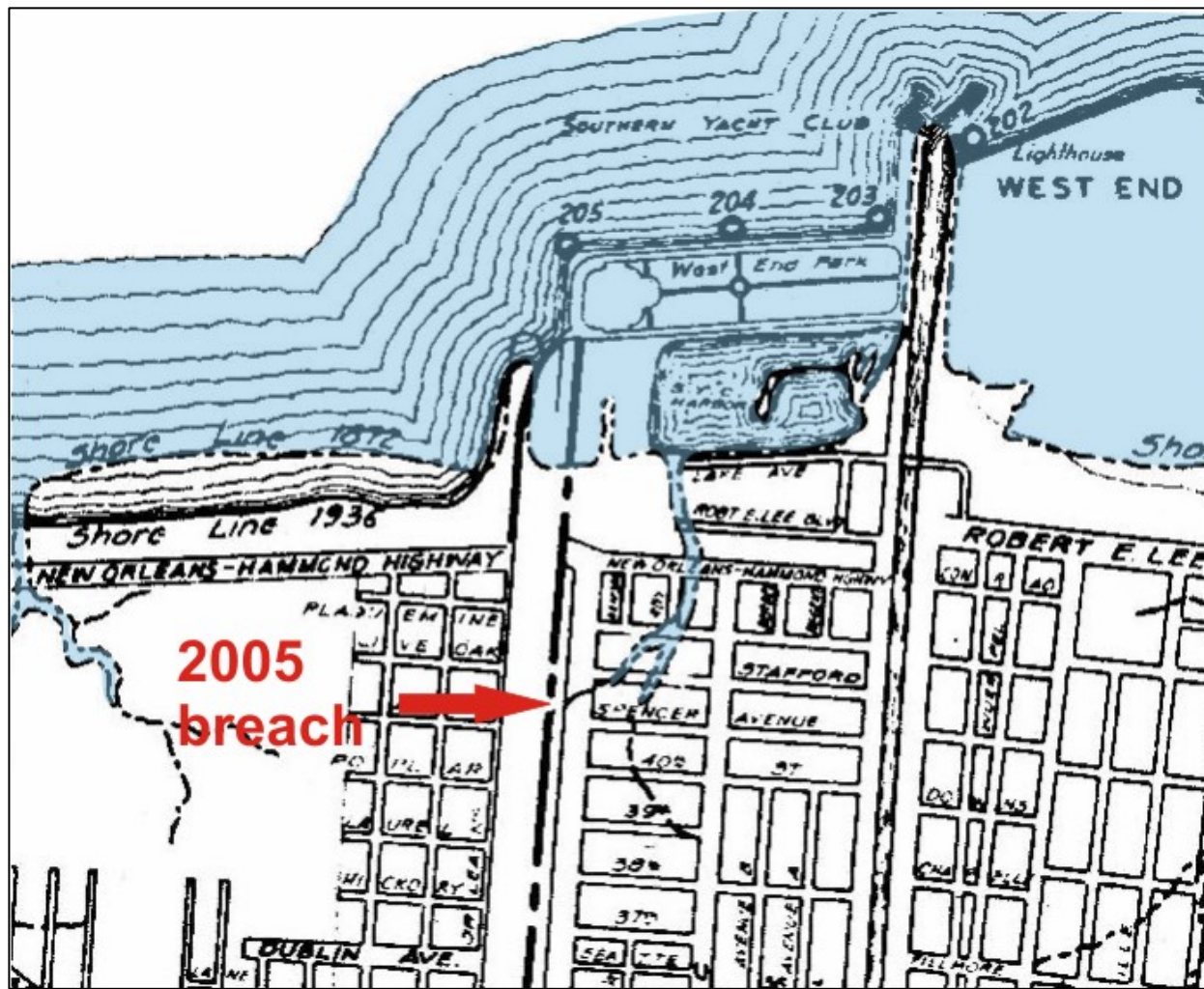


17th Street Canal East Levee- Draft Soil Profile  
New Orleans, Louisiana

- FILL
- MARSH
- WOOD
- CL, OM, WD  
CLAY WITH ORGANIC MATERIAL AND WOOD
- CL, LEAN CLAY
- CH, FAT CLAY
- MEDIUM DENSE SAND
- BAY SOUND
- SILT LENS
- BOTTOM OF SHEET PILE
- ### (##) SPP (DEPTH IN FEET)
- (##) N, BLOW COUNT

- **Alternative interpretation of the Eustis 1982 borings for the 17<sup>th</sup> Street Canal East Levee, near the 2005 break. In this case the swamp deposits would extend beneath the sheetpile tips over a zone 300 feet long, where the break occurred.**





2005  
breach

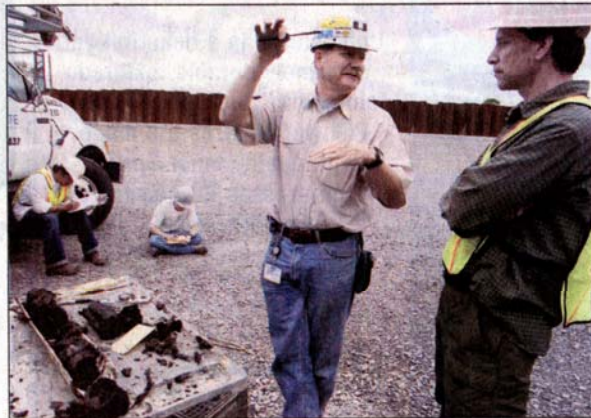


- **Map overlay** assembled by Prof. Joe Suyhada at LSU by overlaying 1872 Sulakowski map on the 1937 WPA map, showing the 1872 shoreline and sloughs (in blue) along Lake Pontchartrain.
- The position of the 2005 breach along the east side of the 17<sup>th</sup> Street Canal is indicated by the red arrow.



STAFF PHOTOS BY TED JACKSON

This piece of clay was just above the peat area at the site of the 17th Street Canal floodwall breach.



J. David Rogers, center, and Joseph Wartman discuss soil borings at the 17th Street Canal floodwall.

the words “wood” or “shells” written between the lines, indicating a mixture, although the written description of the layers on the log indicates these layers were composed of mostly weak material.

But on the project cross section, that same area shows the symbols for such soils ending at about 15 feet below sea level. Below that depth, the symbols show soils of “fat clay” or “lean clay” — sticky, impervious soils considered very good for resisting water, Rogers said.

**‘Significant finding’**

After doing its own soil borings at the breach this week, the National Science Foundation

# The 17<sup>th</sup> St Canal slip surface

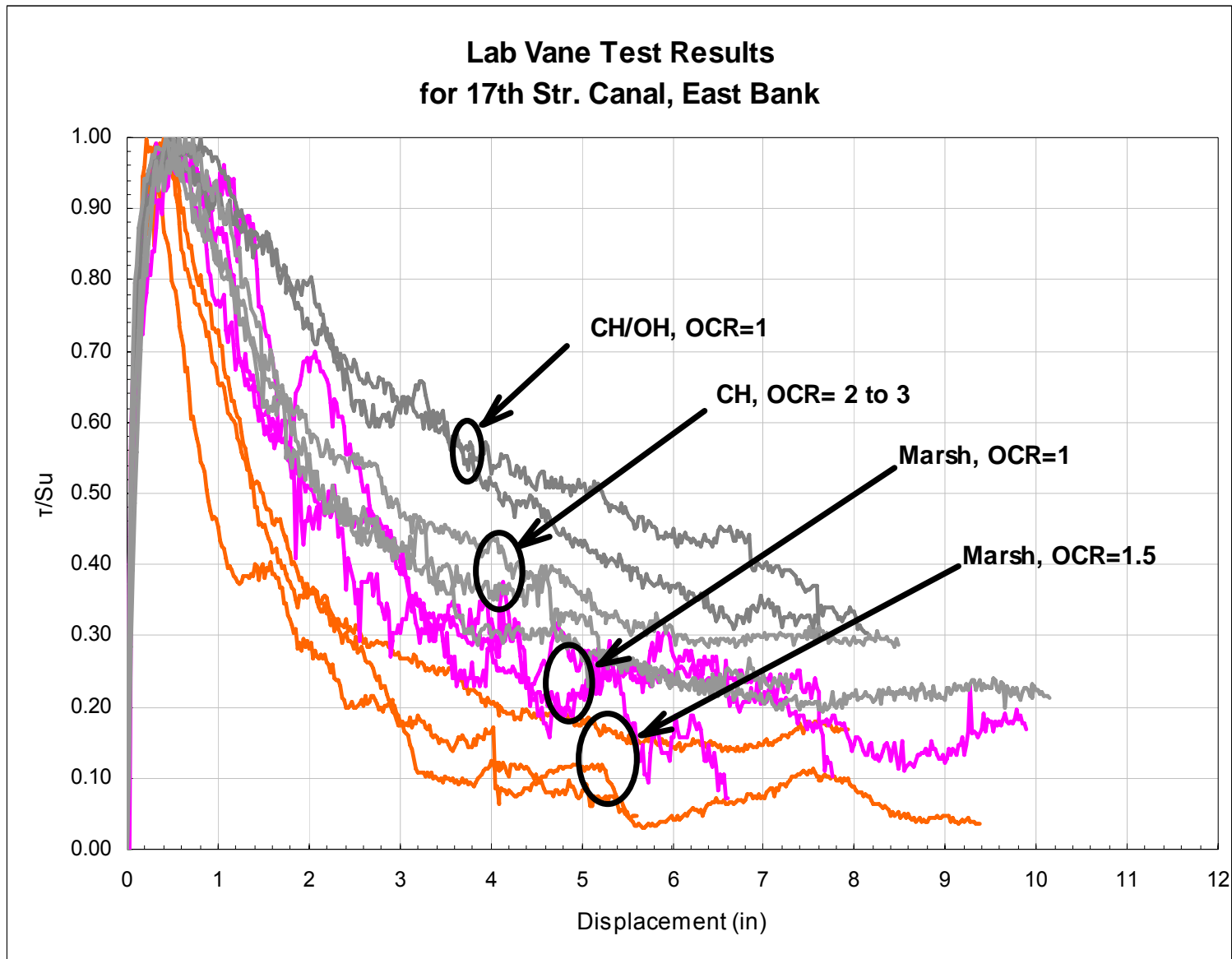
- Sampling the slip surface was only the first hurdle
- Shear testing of this toothpaste consistency paludal clay proved far more difficult
- The results eventually showed a peak shear strength of 50 psf, degrading to zero after a half inch of rotation



# Miniature laboratory vane shear testing at U.C. Berkeley

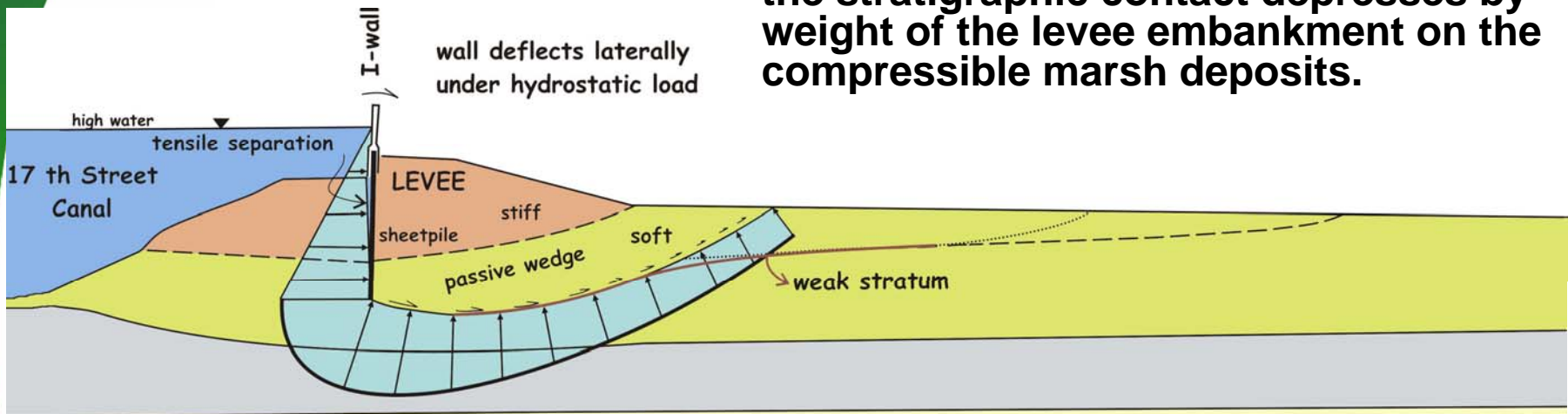


# 17<sup>th</sup> Street Canal: Sensitivity of the Sensitive Organic Clay within the Marsh Stratum vs. Sensitivity of the Deeper Gray Clay (CH)

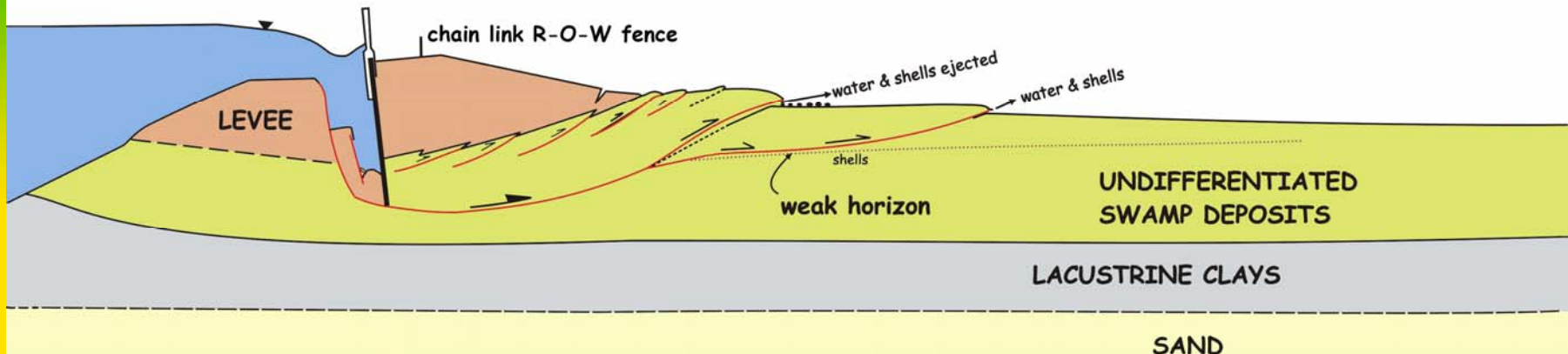




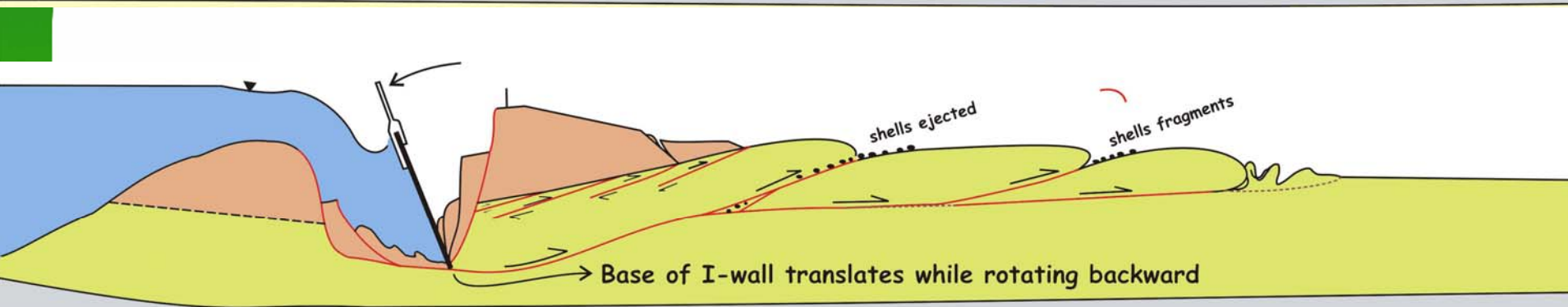
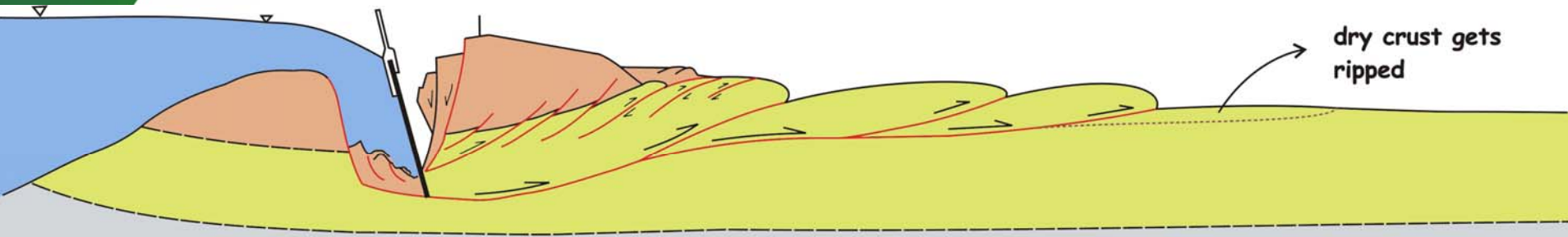
**Passive reaction wedge coincided with the stratigraphic contact depresses by weight of the levee embankment on the compressible marsh deposits.**



**Initial loading conditions, Storm surge rose to within 4 feet of flood wall crest. Hydrostatic pressures on sheetpile supported I-wall highlighted in blue.**

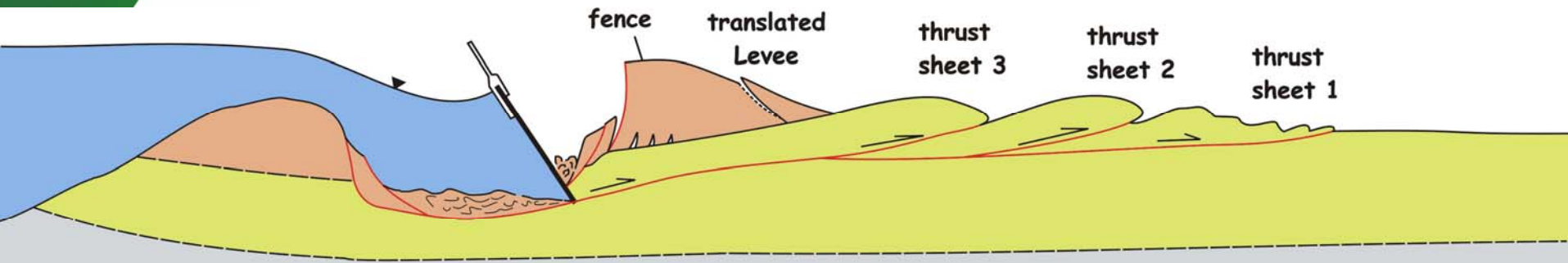


**Translational failure begins. Traction shears noted along base of embankment. Note initial back rotation component of motion and development of planar thrusting.**

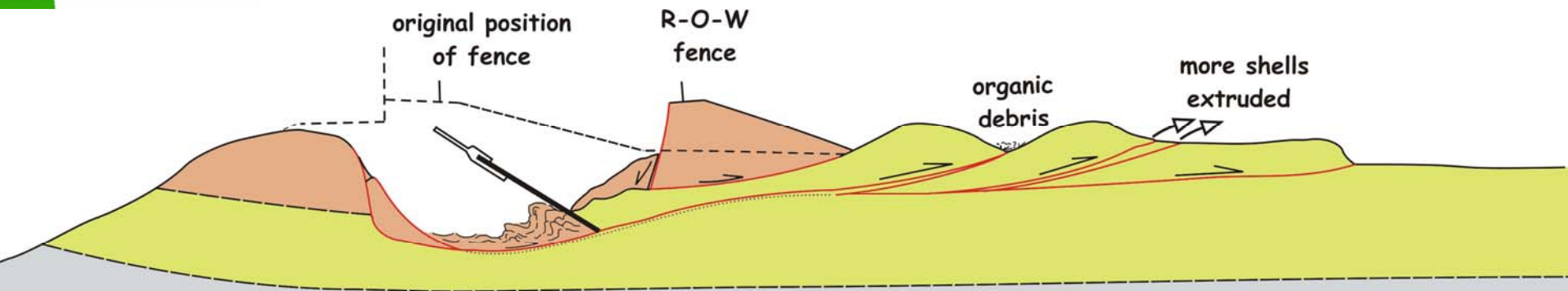


- **Progression of translational failure sequence. Multiple thrust sheets develop in partially saturated crust, comprised of sandy fill over organic cypress swamp deposits. Buckles like a rug being rolled up.**





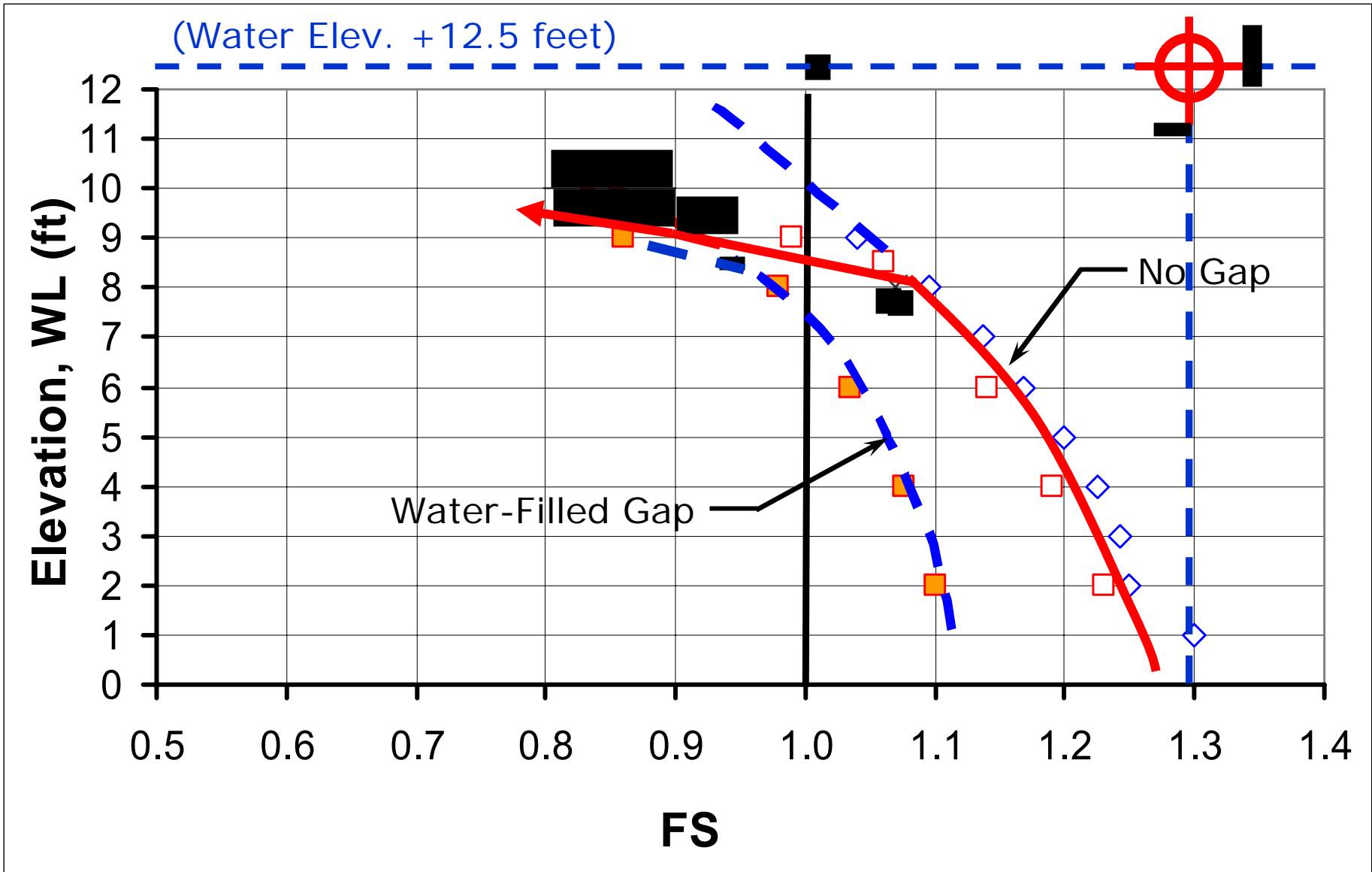
**Some sheetpile supported I-walls fell backward; others fell forward**



- **Final stages of translational failure sequence. Lower section shows failed levee after 51 feet of displacement. The void was quickly backfilled with gravel as part of sealing the breach.**

# 17<sup>th</sup> Street Canal East Side

(FS = 1.3)



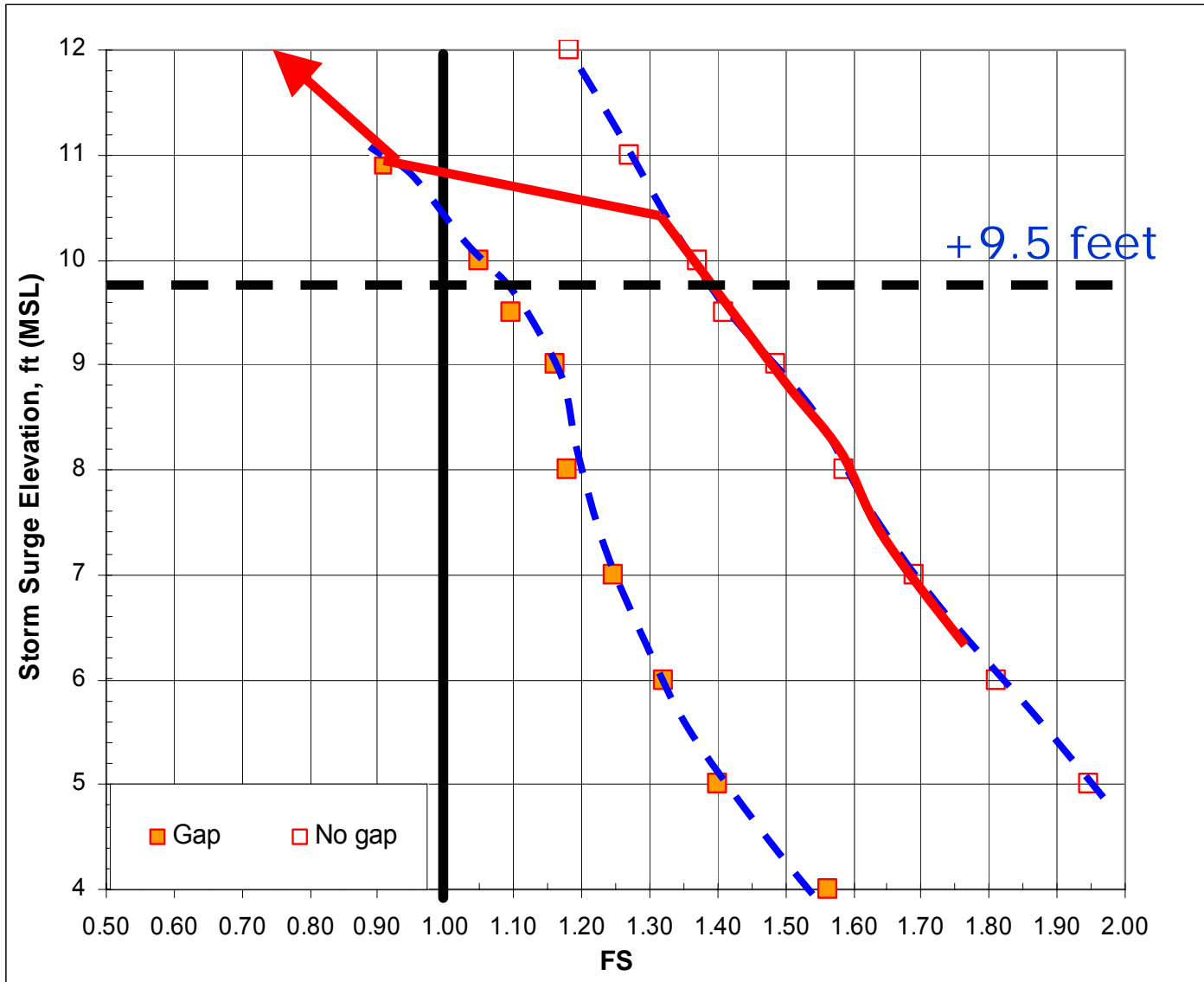




# The tilting wall controversy

- The NSF team assuaged that the bend in the flood wall on the west (unfailed) side of the 17<sup>th</sup> St Canal was evidence of an incipient failure
- The Corps didn't initially agree publicly

# 17<sup>th</sup> Street Canal, West Bank





# **The design of the 17<sup>th</sup> St Canal I-walls violated the “three deadly sins” espoused in Rogers’ GE 341 Engineering Geology and Geotechnics course:**

- **Never allow yourself to draw geologic cross sections using a ruler. There is no such thing as a ruler straight line in geology.**
- **Always construct multiple cross sections without vertical exaggeration to ascertain loading and reaction geometry, just like a free body diagram. Use multiple orientations to appreciate apparent dips of various units.**
- **Never allow yourself to average shear strength values when you get a low factor of safety. Slope failures tend to occur along the weakest horizons – finding and sampling those horizons is almost always difficult, requiring considerable judgment and experience.**

# Part 6

# LONDON AVENUE DRAINAGE CANAL FAILURES



# London Avenue (North) breach



**Similar failure mechanism as 17<sup>th</sup> St Canal**



# Incipient failure

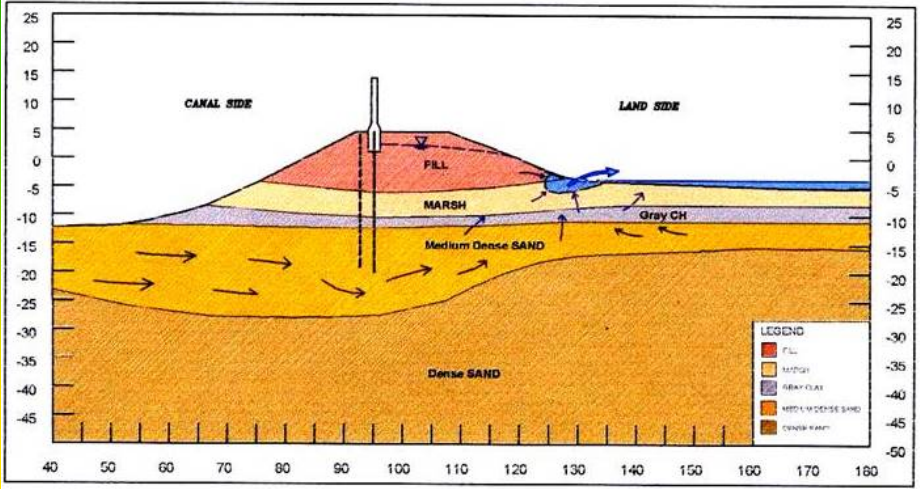
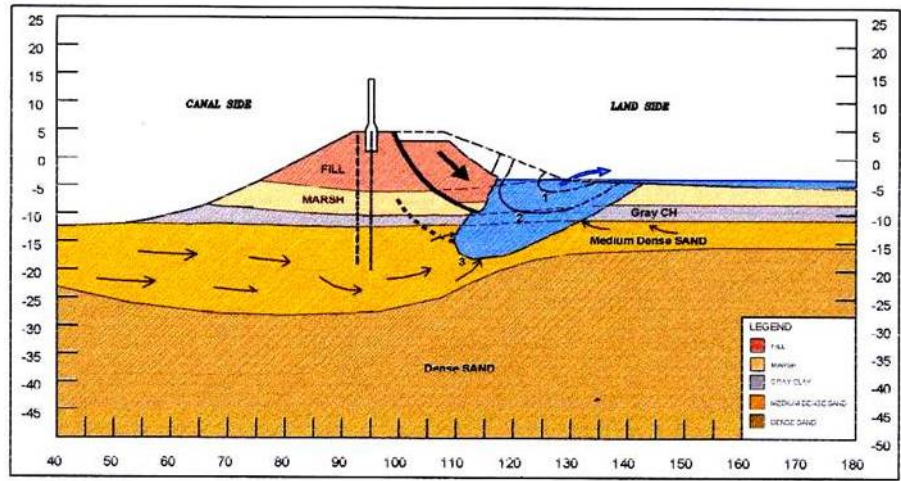
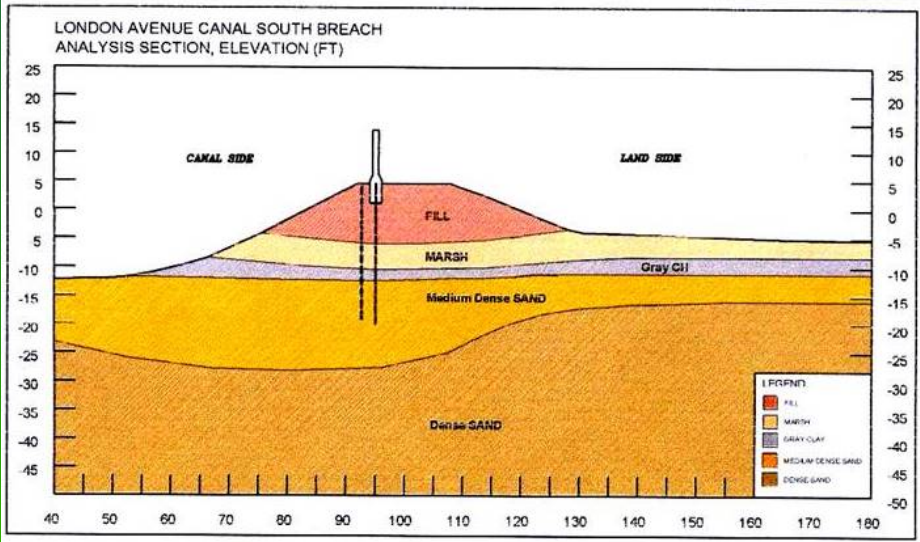
- **Tilted flood wall opposite the London Avenue North breach, at Robert E. Lee Blvd.**
- **Forensic scientists learn more from a partial failure than a complete one, because much of the critical evidence remains**



# London Avenue (South) breach







The South Breach of the London Avenue Canal was caused by **excess seepage pressures** developed in the sand underlying the canal, which had been dredged



# Part 7

# INNER HARBOR NAVIGATION CHANNEL AREA



- Aerial oblique view of the **Inner Harbor Navigation Canal** between 1960-64, after the entry to the Mississippi River-Gulf Outlet Channel had been enlarged (upper right), connecting to the inner harbor area.





- **Some sections survived:** Evidence of sustained overtopping of concrete flood wall along the IHNC in the Lower Ninth Ward.





- **Overtopping scour holes along landside of flood wall on west side of the IHNC. Note broken wall in background. A splash pad on inboard side could have prevented this failure mode.**



**Lower Ninth Ward**



- **Aerial view of the south breach of the Inner Harbor Navigation Channel (IHNC) in the Lower Ninth Ward of New Orleans.**





- **ING 4727 was built in 1990 as a dry cargo cover-top barge with a steel hull. It was 200 feet long, 35 feet wide, and 12 ft high, with a cargo volume of 84,659 ft<sup>3</sup> (1877 tons). It was being leased to Lafarge North America, and was tied up along the MRGO channel.**

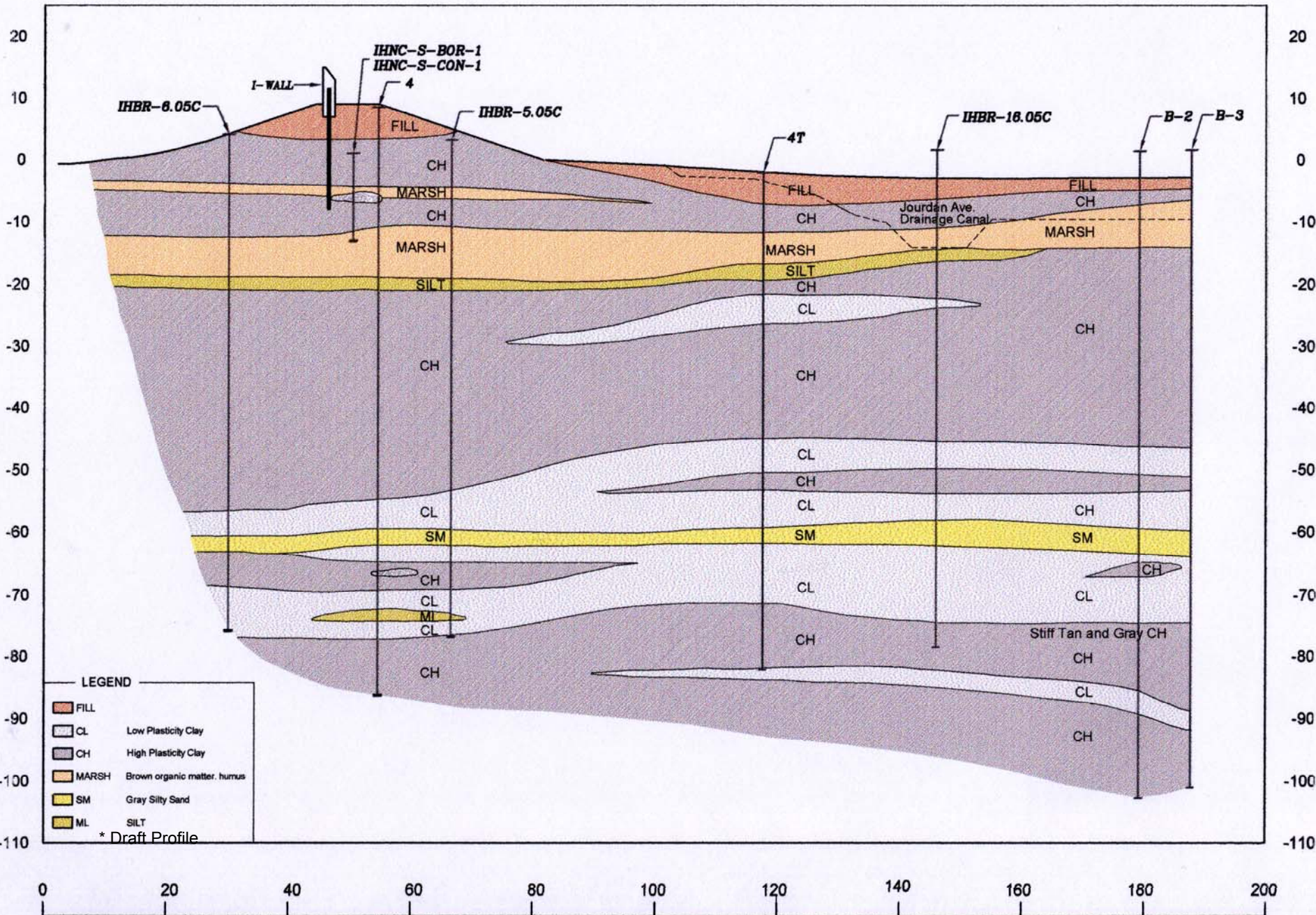




- **Damage to concrete flood wall where ING 4727 Barge collided with it, along the south side of the IHNC adjacent to the Lower Ninth Ward**



LOWER NINTH WARD, IHNC EAST BANK  
 SOUTH OF SOUTHERN BREACH  
 ELEVATION, FT (N.A.V.D.88)

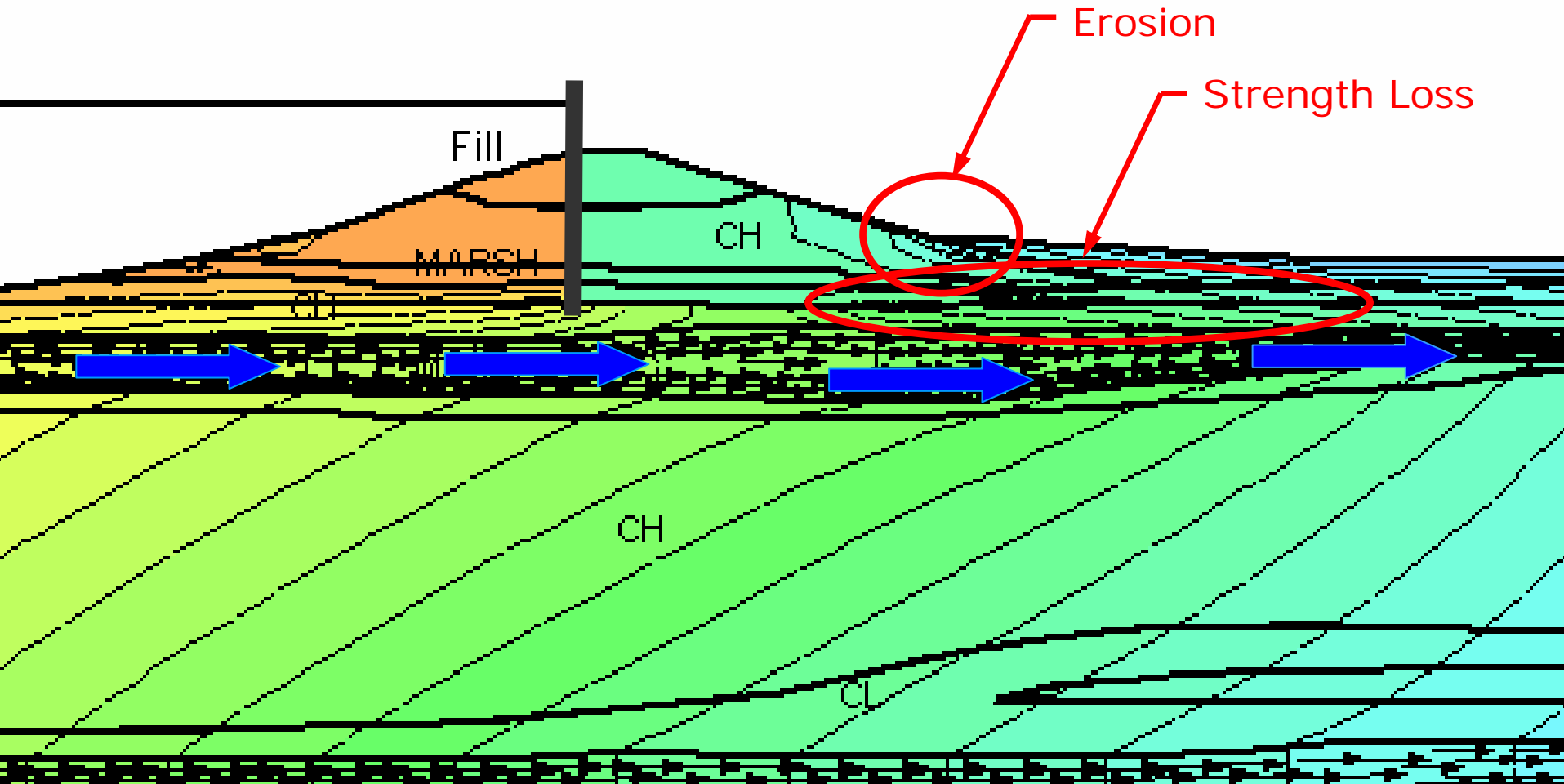






- **Seepage crevasse splay** exposed on the water side of the east levee of the IHNC breach after Hurricanes Katrina and Rita. **This same section of the IHNC levee failed in 1965 during Hurricane Betsy.**
- Note the **anomalous seepage** in lower foreground, which suggests much higher permeability in this particular portion of the dike, close to the south end of the failed section.

# Industrial Canal at the Ninth Ward





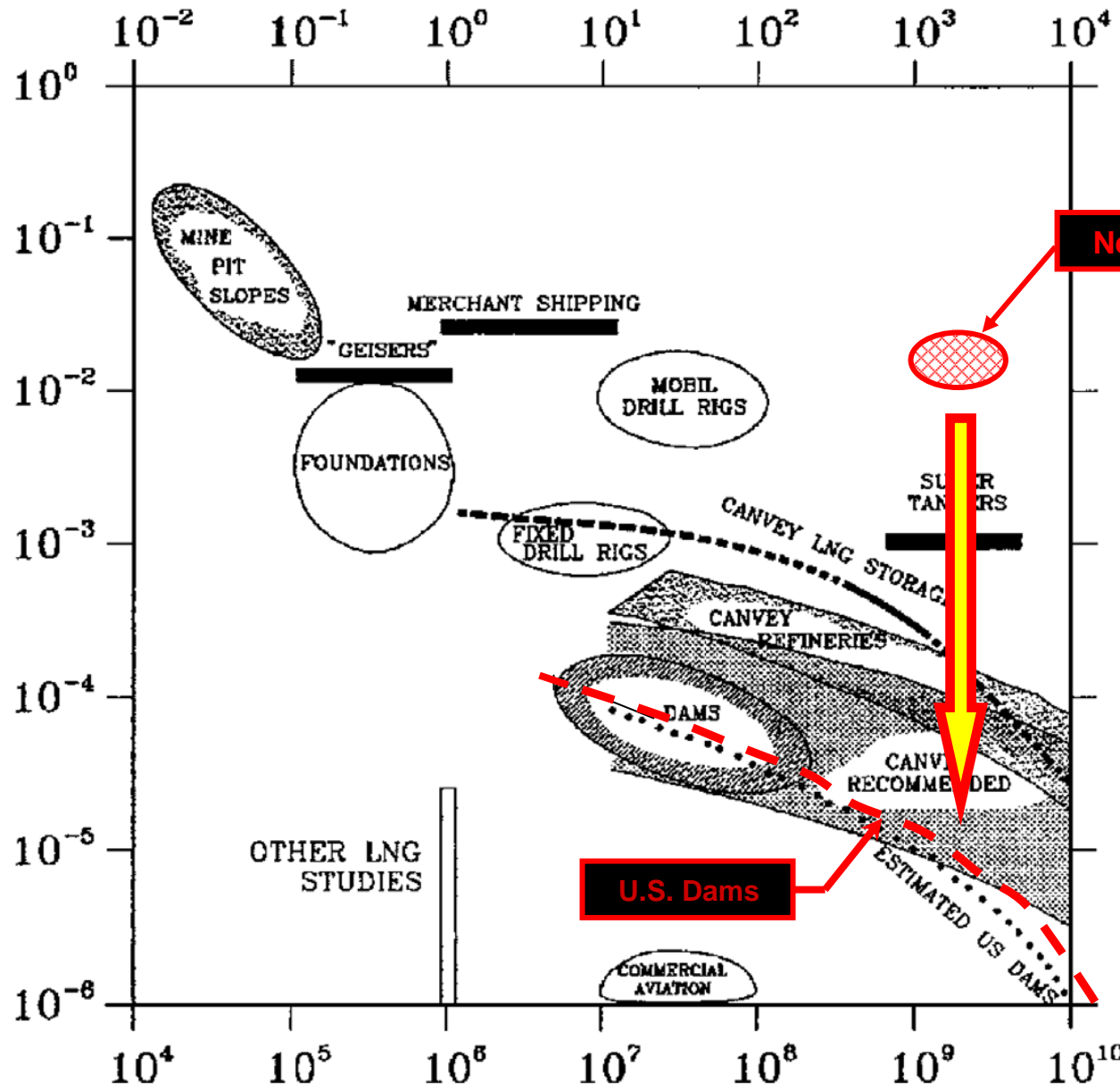
## Part 8

# PRELIMINARY CONCLUSIONS –

**The Katrina disaster  
was literally “off the  
charts”**

ANNUAL PROBABILITY OF "FAILURE"

# LIVES LOST



**New Orleans**

The New Orleans levees and flood walls were 1000 times more vulnerable to failure than the average American dam

**U.S. Dams**



- Nine different physical factors appear to be responsible for **ground settlement** in the lower Mississippi Delta region. These factors, and **sea level rise**, have created a **never-ending battle** to maintain flood control
- Flood control infrastructure of New Orleans needs to be under a **single overarching authority**; with **external peer review** and **redundant safety factors**, like dams
- Must be an **integrated system**, which can sustain temporary overtopping without failing
- New Orleans should consider construction of **drainage polders**, to store excess water within the confines of the flood protection system
- Must consider cost-benefit aspects. City and regional planning authorities should consider **cost-effectiveness** of providing **redundant flood protection** to more sparsely populated areas, such as Plaquemines Parish, below New Orleans

# 17<sup>th</sup> Street Outfall Canal

## East Bank Floodwall Construction

ca 1993 Floodwall Protection/Capping Project (High Level Plan)

Hammond Hwy to Veterans Blvd Sta. 8+50 to 80+00 (±) -- Typical

Existing floodwall elevations running ~12.1 ft (LMSL 1983-2001) —from 2005 post-Katrina field surveys

14.0 ft NGVD Design Elevation

Delta ≈ 1.9 feet

Contract plan “NGVD” (unspecified epoch)-assumed ≈ MSL (LMSL) in 1993

elev 8.77 ft

LMSL (1983-1992 & 2005) (from 2005 level line)

elev 6.81 ft

USACE Monument 14 used as reference for floodwall construction

Elevations are referenced to an estimated LMSL (1983-2001 epoch) at Lake Pontchartrain

1.96 ft difference likely due to:

- Uncertain BM 14 elevation ... believed by MVN to be suspect/disturbed
- Uncertain BM 14 datum (1951 or ?)
- Settlement (probably < 0.3 ft)



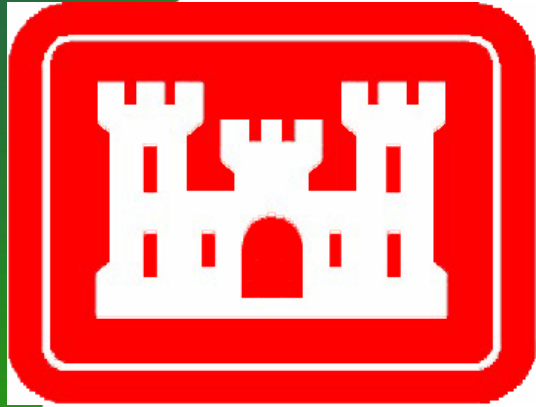
# Flood structures must be “Class 3 survivable”

- It is impossible to accurately predict **actual flood surge heights**, because of a number of unknown factors
- Engineers have to select a flood height commensurate with **risk-consequence assessments** and **probabalistic analyses**.
- Consequences in a densely populated urban areas never a factor previously
- Flood control infrastructure, such as levees and flood walls, must be designed to withstand sustained overtopping.



Protective **concrete splash pads** would have protected the I-walls for about 0.5 % of the I-wall construction cost. They are being retrofitted to the flood walls. This is a cost-effective measure.





# Most everyone ended up agreeing on all the major points



Between June 1st and October 25<sup>th</sup> 2006 five additional forensic reports were released (two by the Corps of Engineers). All of these agreed with most of the basic failure mechanisms proposed in the May 22<sup>nd</sup> NSF panel report, after months of argument and intrigue.

NATIONAL  
RESEARCH  
COUNCIL

*OF THE NATIONAL ACADEMIES*



**LSU HURRICANE CENTER**

*Addressing Hurricanes and Other Hazards and Their Impacts  
on the Natural, Built, and Human Environments*



**This lecture will be posted at**

**[www.umn.edu/~rogersda/levees](http://www.umn.edu/~rogersda/levees)**

**in .pdf format for easy downloading and use by others. The entire NSF report can be downloaded at**

**[http://www.ce.berkeley.edu/~new\\_orleans](http://www.ce.berkeley.edu/~new_orleans)**

